

# Additive manufacturing constraints in topology optimization of structures

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New trends in PDE constrained optimization, RICAM, Linz,  
October 14-18 2019



- I - Introduction: a short review of shape and topology optimization of structures
- II - Additive manufacturing
- III - Mechanical constraint for overhang limitation
- IV - Thermal residual stresses
- V - Supports
- VI - Conclusion and perspectives

A "hot" topic with a lot of room for new ideas in modeling...

**Sofia project:** Add-Up, Michelin, Safran, ESI, etc.

Minimize an **objective function**  $J(\Omega)$  over a set  $\mathcal{U}_{ad}$  of admissible shapes  $\Omega$  (including possible topology changes)

$$\inf_{\Omega \in \mathcal{U}_{ad}, P(\Omega) \leq 0} J(\Omega)$$

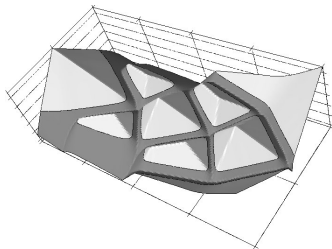
with one or several constraints  $P(\Omega)$

$$J(\Omega) = \int_{\Omega} j(u_{\Omega}) dx, \quad P(\Omega) = \int_{\Omega} c(u_{\Omega}) dx$$

where  $u_{\Omega}$  is the solution of a partial differential equation (**state equation**)

$$PDE(u_{\Omega}) = 0 \quad \text{in } \Omega$$

Here, the PDE is the system of linearized elasticity,  $J(\Omega)$  is the compliance and one first constraint is the weight.



A shape  $\Omega \subset D$  is parametrized by a **level set** function

$$\psi(x) < 0 \Leftrightarrow x \in \Omega, \quad \psi(x) > 0 \Leftrightarrow x \in (D \setminus \Omega)$$

Assume that the shape  $\Omega(t)$  evolves in time  $t$  with a normal velocity  $V(t, x)$ . Then its motion is governed by the following **Hamilton Jacobi equation**

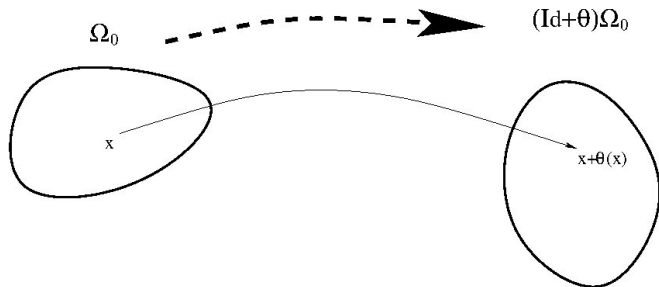
$$\frac{\partial \psi}{\partial t} + V|\nabla_x \psi| = 0 \quad \text{in } D.$$



The velocity  $V$  is deduced from the shape gradient of the objective function.

To compute shape gradients we rely on [Hadamard's method](#). Let  $\Omega_0$  be a reference domain. Shapes are parametrized by a **vector field**  $\theta$ :

$$\Omega = (\text{Id} + \theta)\Omega_0 \quad \text{with} \quad \theta \in C^1(\mathbb{R}^d; \mathbb{R}^d).$$



**Definition:** the shape derivative of  $J(\Omega)$  at  $\Omega_0$  is the **Fréchet differential** of  $\theta \rightarrow J((\text{Id} + \theta)\Omega_0)$  at 0.

**Hadamard structure theorem:** the shape derivative of  $J(\Omega)$  can always be written (in a distributional sense)

$$J'(\Omega_0)(\theta) = \int_{\partial\Omega_0} \theta(x) \cdot n(x) j(x) ds$$

where  $j(x)$  is an integrand depending on the state  $u$  and an adjoint  $p$ .

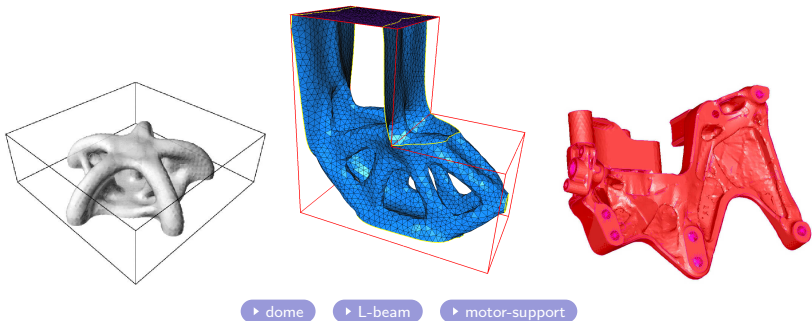
The normal velocity  $V = \theta \cdot n$  is chosen so that  $J'(\Omega_0)(\theta) \leq 0$ .  
**Simplest choice:**  $V = \theta \cdot n = -j$  (but other ones are possible).

- 1 Initialization of the level set function  $\psi_0$  (including holes).
  - 2 Iteration until convergence for  $k \geq 1$ :
    - 1 Compute the elastic displacement  $u_k$  for the shape  $\psi_k$ .  
Deduce the shape gradient = normal velocity =  $V_k$
    - 2 Advect the shape with  $V_k$  (solving the Hamilton Jacobi equation) to obtain a new shape  $\psi_{k+1}$ .
- 

## Optimization algorithms:

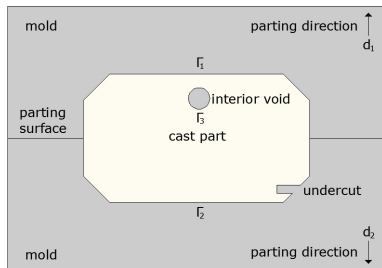
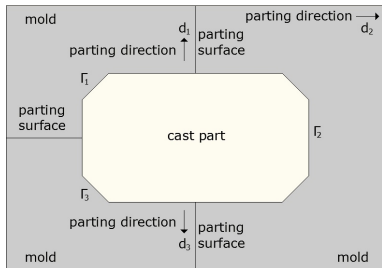
- 1 Lagrangian (possibly augmented) algorithm,
- 2 SLP (sequential linear programming).

★ compliance minimization with a weight constraint



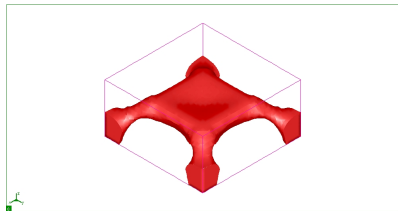
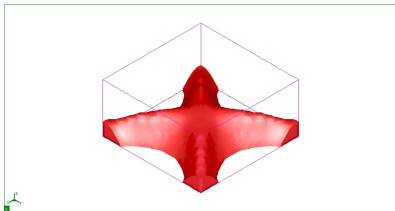
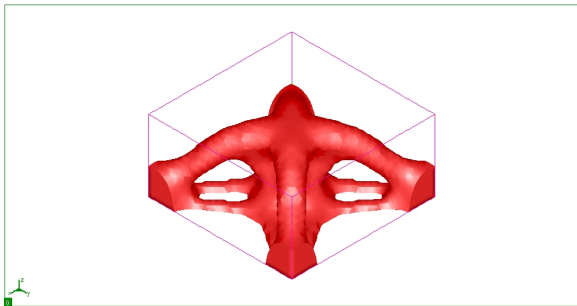
★ very hard to manufacture !

The molds and cast part should not be broken under removal:  
castable (left), non-castable (right).

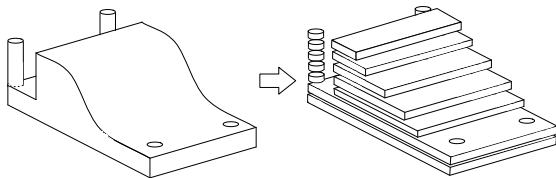


Delicate to implement in an optimization algorithm...

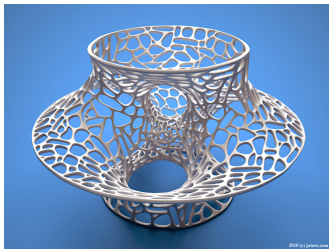
# No mold (top), 1 mold (left b.) 2 molds (right b.)



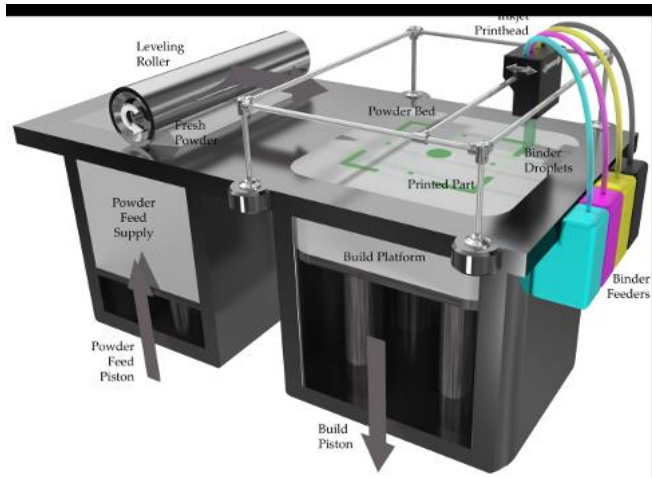
- Structures built layer by layer



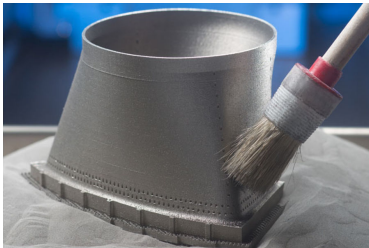
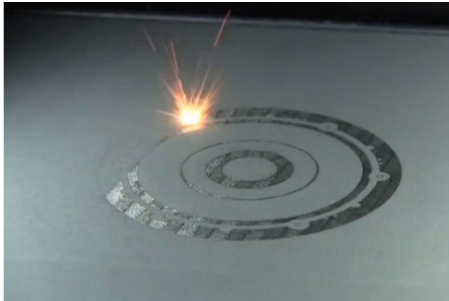
- No topological constraints on the built structures

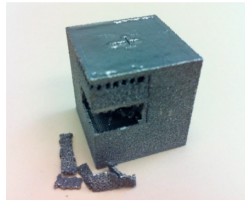
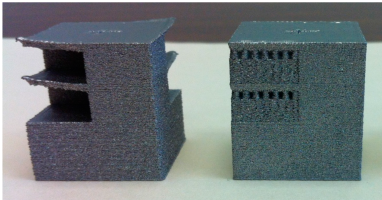
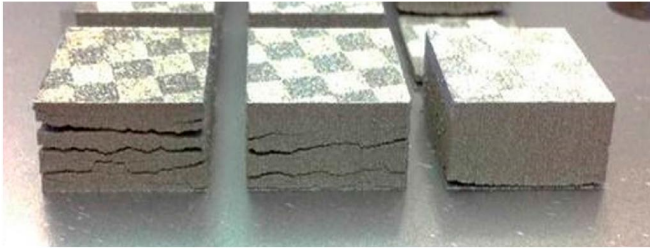


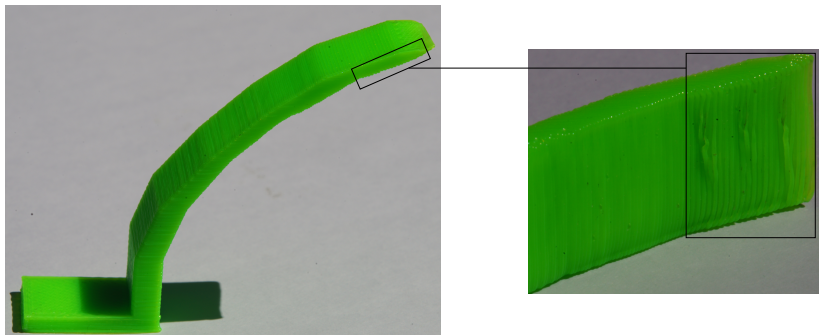
Metallic powder melted by a laser or an electron beam.











The angle between the structural boundary and the build direction has an impact on the quality of the processed shape.



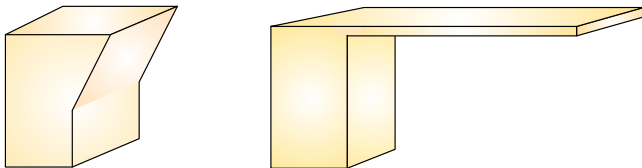
Example of a bad 3-d printing due to overhangs.

- no constraints related to topology, but...
- **constraints related to the fabrication process !**
  - almost horizontal **overhang** surfaces cannot be built
  - metal melting → large temperatures → **thermal residual stresses** and thermal deformations
  - deformations of the structure may stop the powder deposition system
  - adding (and removing) **supports**,
  - preferred orientation of thin and slender structures,
  - minimal time (or energy) for completion,
  - removing the powder (no closed holes).

## Why a mechanical constraint ?

Because geometrical constraint fail most of the time !

**A naive idea:** geometric constraint on the normal angle

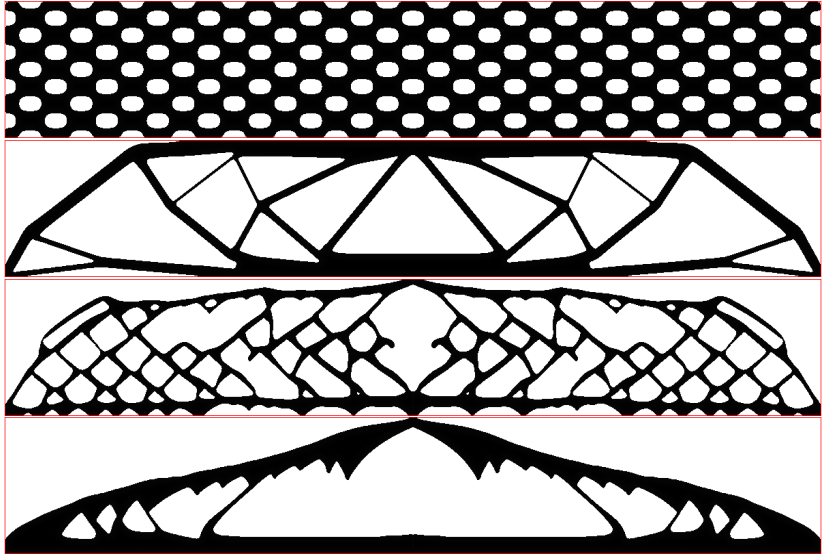


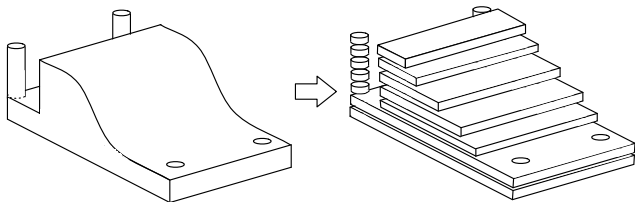
To avoid bad 3-d printing due to overhangs, small angles of the normal to the shape with the build direction  $d$  are forbidden.

For a given angle  $\phi$ , our pointwise criterion reads

$$n(x) \cdot d \leq \cos \phi, \quad \forall x \in \partial\Omega.$$

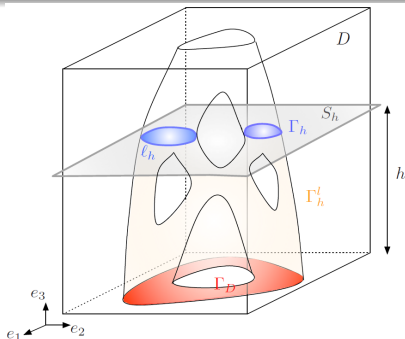
See: Leary et al. (2014), Gaynor and Guest (2016), Langelaar (2016, 2017), Allaire et al. (JCP 2017).





Additive manufacturing involves a layer by layer process.  
We model this process with a mechanical approach.





For a final shape  $\Omega$ , define **intermediate shapes**  $\Omega_i$  of increasing height  $h_i$

$$\Omega_i = \{x \in \Omega \text{ such that } x_d \leq h_i\} \quad 1 \leq i \leq n.$$

**Two different state equations:**

- ① for the objective function of the final shape  $\Omega$ ,
- ② for the additive manufacturing constraint on each  $\Omega_i$ .

For a given applied load  $f : \Gamma_N \rightarrow \mathbb{R}^d$ ,

$$\begin{cases} -\operatorname{div}(A e(u)) = 0 & \text{in } \Omega \\ u = 0 & \text{on } \Gamma_D \\ (A e(u)) n = f & \text{on } \Gamma_N \\ (A e(u)) n = 0 & \text{on } \Gamma \end{cases}$$

Objective function: **compliance**

$$J(\Omega) = \int_{\Gamma_N} f \cdot u \, dx,$$

Apply self-weight (gravity  $g$ ) to the top layer of intermediate shapes  $\Omega_i$ :

$$\begin{cases} -\operatorname{div}(A e(u_i)) = \rho g_\delta & \text{in } \Omega_i, \\ u_i = 0 & \text{on } \Gamma_D, \\ (A e(u_i))n = 0 & \text{on } \Gamma_i, \end{cases}$$

$$\text{with } g_\delta(x) = \begin{cases} g & \text{if } h_i - \delta < x_d < h_i, \\ 0 & \text{otherwise,} \end{cases}$$

The boundary conditions are different from the first state equation.  
Total **self-weight compliance** constraint:

$$P(\Omega) = \sum_{i=1}^n \int_{\Omega_i} A e(u_i) : e(u_i) dx = \sum_{i=1}^n \int_{\Omega_i} \rho g_\delta \cdot u_i dx$$

We solve the optimization problem:

$$\begin{aligned} \min_{\Omega \subset D} \quad & J(\Omega) \\ \text{s.t.} \quad & V(\Omega) \leq 0.20|D| \\ & P(\Omega) \leq \alpha P(\Omega_{ref}) \end{aligned}$$

where  $\Omega_{ref}$  is the optimal design without constraint and  $\alpha \in (0, 1)$  is a parameter of the method.

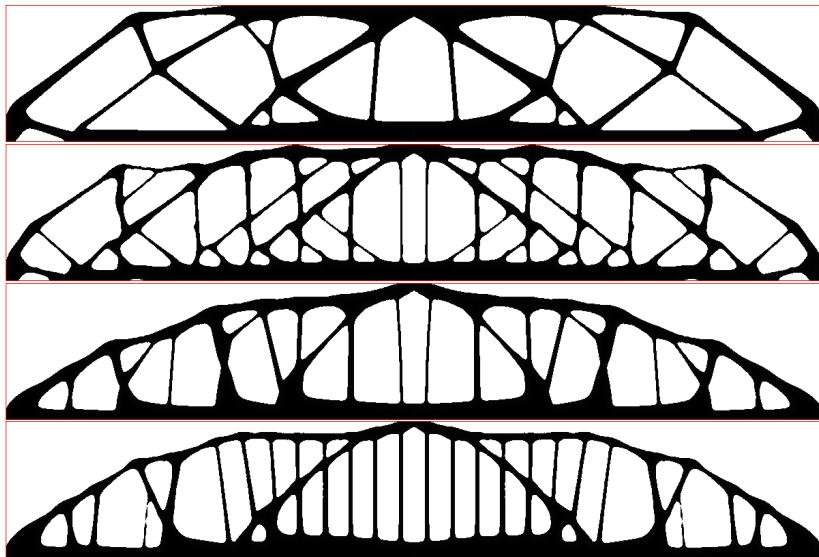
Recall that  $J(\Omega)$  is the compliance for the final shape,  $V(\Omega)$  is the volume and  $P(\Omega)$  is the self-weight constraint for the intermediate shapes.

Some subtle issues in the shape derivation of  $P(\Omega)$ ...

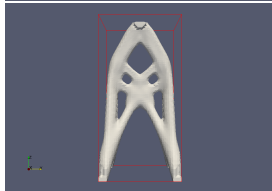
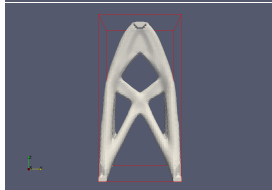
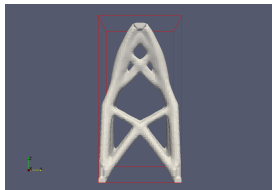
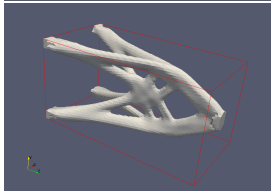
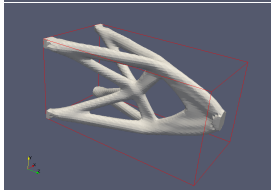
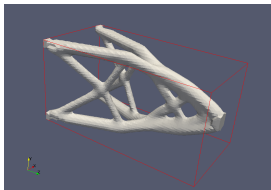
More details can be found in:

G. Allaire, Ch. Dapogny, A. Faure, G. Michailidis, *Shape optimization of a layer by layer mechanical constraint for additive manufacturing*, C. R. Math. Acad. Sci. Paris, 355, no. 6, 699-717 (2017).

G. Allaire, C. Dapogny, R. Estevez, A. Faure and G. Michailidis, *Structural optimization under overhang constraints imposed by additive manufacturing technologies*, J. Comput. Phys. 351, pp.295-328 (2017).



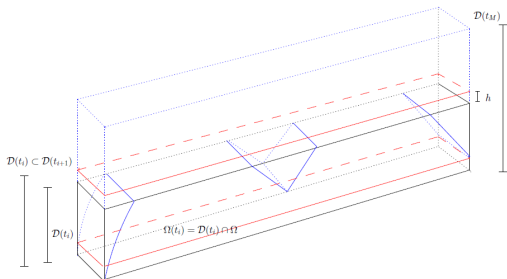
# Self-weight compliance constraint in 3-d



## Same idea with a more involved model:

- Keep intermediate "**layer by layer**" shapes  $(\Omega_i)_{i=1,\dots,n}$ .
- Each layer  $i$  is built between time  $t_{i-1}$  and  $t_i$ .
- Holes are now **filled by a metallic powder**.
- **Thermal residual stress computed by a model as in**  
L. Van Belle, J.-C. Boyer, G. Vansteenkiste, *Investigation of residual stresses induced during the selective laser melting process*, Key Engineering Materials, 1828-2834 (2013).  
M. Megahed, H.-W. Mindt, N. NâDri, H. Duan, O. Desmaison, *Metal additive-manufacturing process and residual stress modeling*, Integrating Materials and Manufacturing Innovation, 5:4, (2016).





- 1 Each layer  $i$  is built between time  $t_{i-1}$  and  $t_i$ ,  $1 \leq i \leq n$ .
- 2 Build chamber  $D$ , vertical build direction  $e_d$ .
- 3 Intermediate domains  $D_i = \{x \in D \text{ such that } x_d \leq h_i\}$ .
- 4 Final shape  $\Omega$  and intermediate shapes  $\Omega_i = \Omega \cap D_i$ .
- 5 Mixture  $D_i = \Omega_i \cup P_i$  of solid and powder.

Heat equation:

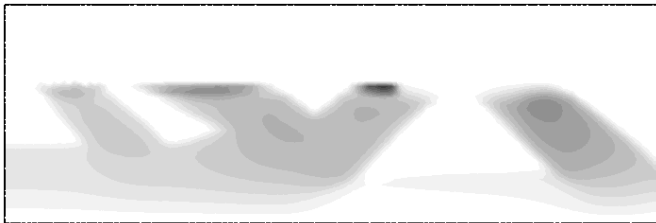
$$\left\{ \begin{array}{ll} \rho \frac{\partial T}{\partial t} - \operatorname{div}(\lambda \nabla T) = Q(t) & \text{in } (t_{i-1}, t_i) \times D_i \\ T = T_{init} & \text{on } (t_{i-1}, t_i) \times \Gamma_{base} \\ \lambda \nabla T \cdot n = -H_e(T - T_{init}) & \text{on } (t_{i-1}, t_i) \times (\partial D_i \setminus \Gamma_{base}) \\ T(t = t_{i-1}) = T_{init} & \text{in } D_i \setminus D_{i-1} \end{array} \right.$$

Thermoelastic quasi-static equation:

$$\left\{ \begin{array}{ll} -\operatorname{div}(\sigma) = 0 & \text{and } \sigma = \sigma^{el} + \sigma^{th} \quad \text{in } (t_{i-1}, t_i) \times D_i, \\ \sigma^{el} = A e(u) & \text{and } \sigma^{th} = K(T - T_{init}) \operatorname{Id}, \end{array} \right.$$

Material parameters  $\rho, \lambda, A, K$  are different for solid or powder.  
Source term  $Q(t)$  = beam spot, traveling on the upper layer.

**Weak coupling:** **first**, solve the heat equation, **second**, thermoelasticity.



▶ path

The objective (or constraint) function is

$$J(\Omega) = \sum_{i=1}^n \int_{t_{i-1}}^{t_i} \int_{D_i} j(u, \sigma, T) dx dt$$

where  $(u, \sigma, T)$  is the displacement, stress and temperature fields for the **intermediate shapes**. A constraint on the compliance of the final shape is imposed

$$C(\Omega) = \int_{\Omega} f \cdot u_{final} dx \leq C(\Omega_{ref}),$$

where  $u_{final}$  is the elastic displacement for the **final shape**, solution of

$$-\operatorname{div}(A e(u_{final})) = f \quad \text{in } \Omega$$

The shape derivative of  $J(\Omega)$  is computed by an adjoint method.

**Example** for an objective  $j(u)$  (without  $T$  and  $\sigma$  for simplicity).

Elasticity adjoint equation: no "backward effect"

$$-\operatorname{div}(e(\eta)) = -j'(u) \quad \text{in } (t_{i-1}, t_i) \times D_i$$

Adjoint heat equation: backward in time, from  $i = n$  to 1,

$$\left\{ \begin{array}{ll} \rho \frac{\partial p}{\partial t} + \operatorname{div}(\lambda \nabla p) = K \operatorname{div} \eta & \text{in } (t_{i-1}, t_i) \times D_i \\ p = 0 & \text{on } (t_{i-1}, t_i) \times \Gamma_{base} \\ \lambda \nabla p \cdot n = -H_e p & \text{on } (t_{i-1}, t_i) \times (\partial D_i \setminus \Gamma_{base}) \\ p(t = t_n) = 0 & \text{in } D_n \end{array} \right.$$

Reversed order of coupling: **first**, solve the adjoint elasticity, **second**, the adjoint heat equation.

- 1 Minimize the deviatoric part of the stress  $\sigma_D = 2\mu e(u)_D$

$$J_1(\Omega) = \sum_{i=1}^n \int_{t_{i-1}}^{t_i} \int_{D_i} |\sigma_D|^2 dx dt$$

- 2 Minimize the top vertical displacement (to allow the rake or roller to coat a new powder layer)

$$J_2(\Omega) = \sum_{i=1}^n \int_{t_{i-1}}^{t_i} \int_{D_i \setminus D_{i-1}} |\max(0, u \cdot e_d - u_{max})|^2 dx dt$$

## Ideas:

- 1 forget the layer by layer construction,
- 2 forget the moving source term.

## Consequences:

- apply the thermo-mechanical model only at the final shape  $\Omega$ ,
- take a source term  $Q(t, x)$  constant in time and in the solid (zero in the powder),
- perform just a few time steps,
- simpler and faster ! (More simplification are possible...)

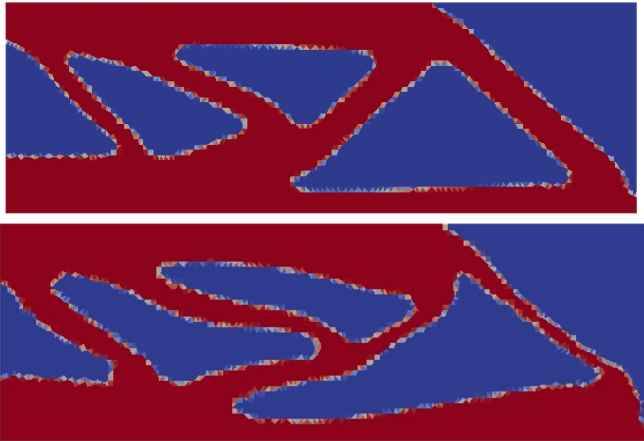
- Half MBB beam (2-d).
- Simplified model with 5 time steps.
- Minimize the vertical displacement (to allow the rake or roller to coat a new powder layer)

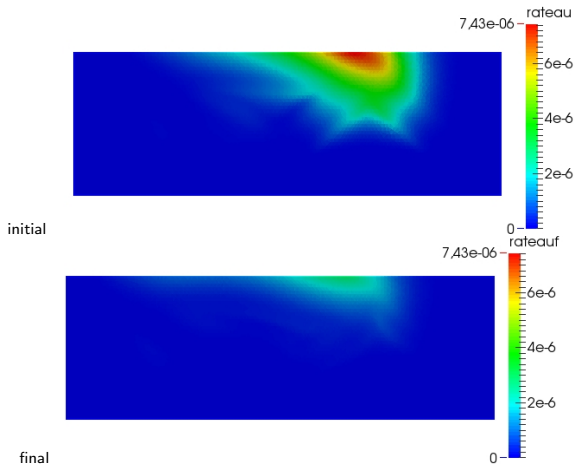
$$J_2(\Omega) = \sum_{i=1}^n \int_{t_{i-1}}^{t_i} \int_D |\max(0, u \cdot e_d - u_{max})|^2 dx dt$$

- The value  $u_{max}$  is guessed from the initial design.
- Constraints on volume (fixed) and compliance.
- Initial design: optimal design for compliance minimization.



# Initial (top) and final (bottom) shape



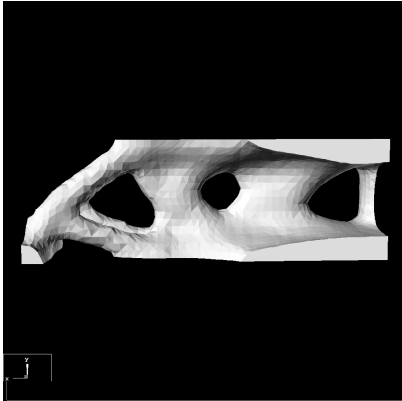


- Half MBB beam (3-d).
- Simplified model with 10 time steps.
- Minimize the vertical displacement (to allow the rake or roller to coat a new powder layer)

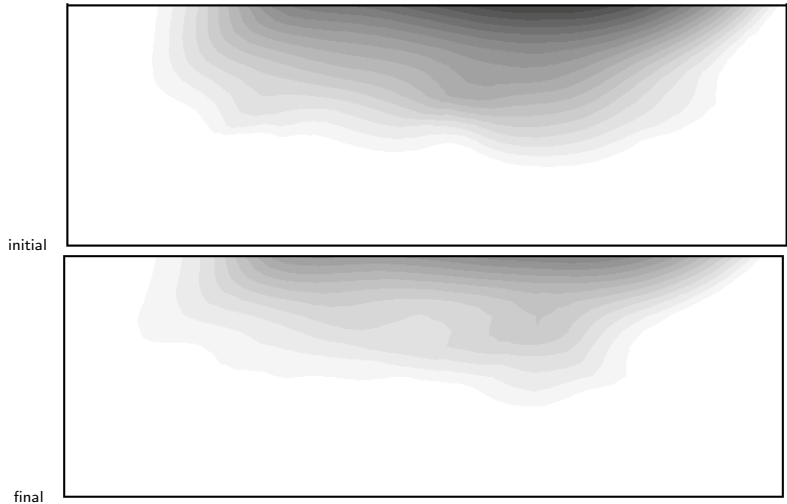
$$J_2(\Omega) = \sum_{i=1}^n \int_{t_{i-1}}^{t_i} \int_D |\max(0, u \cdot e_d - u_{max})|^2 dx dt$$

- Constraints on volume (fixed) and compliance.
- Initial design: optimal design for compliance minimization.

# Initial (left) and final (right) shapes



# Vertical cut of the vertical displacement

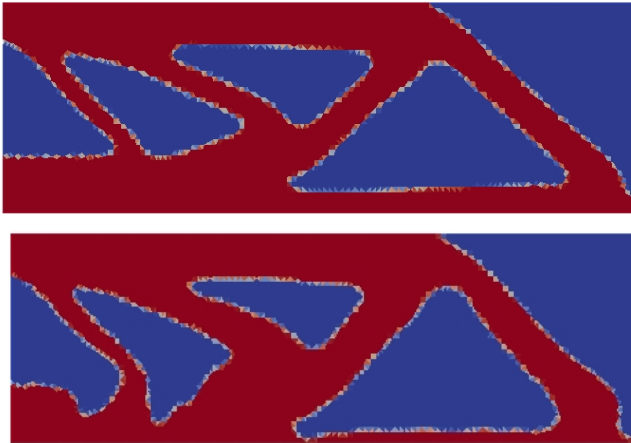


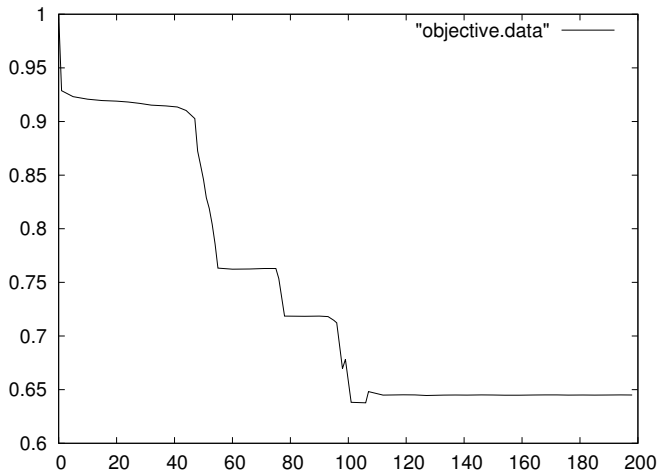
- Half MBB beam (2-d).
- **Full model with 20 layers and 5 time steps per layer.**
- Minimize the deviatoric part of the stress  $\sigma_D = 2\mu e(u)_D$

$$J_1(\Omega) = \sum_{i=1}^n \int_{t_{i-1}}^{t_i} \int_D |\sigma_D|^2 dx dt$$

- The value  $u_{max}$  is guessed from the initial design.
- Constraints on volume (fixed) and compliance.
- Initial design: optimal design for compliance minimization.

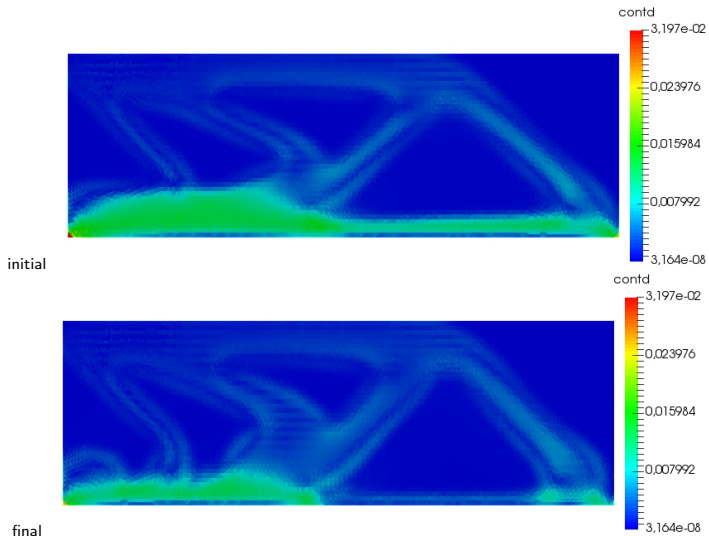
# Initial (top) and final (bottom) shape







# Plot of thermal stress $\sqrt{\int_0^T |\sigma^D|^2(x) dt}$



## More details in :

G. Allaire, L. Jakabcin, *Taking into account thermal residual stresses in topology optimization of structures built by additive manufacturing*, M3AS 28(12), 2313-2366 (2018).



- Support are scaffolds for inclined surfaces.
- Supports fix the shape to the baseplate.

## Drawbacks

Impression time, additional material consumption, post-processing (removal)



- Support are scaffolds for inclined surfaces.
- Supports fix the shape to the baseplate.

## Optimization goals

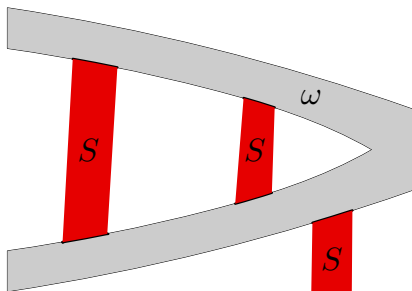
Given a certain design, to insure its successful 3D printing, optimize the **topology** of supports with minimal volume.

## Many works !

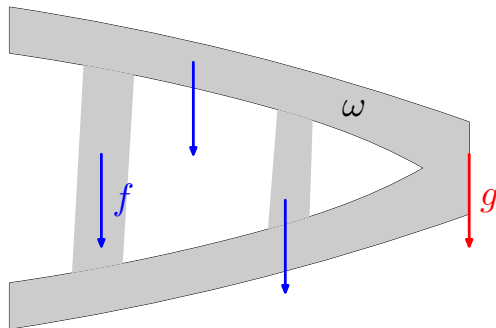
Allaire et al., C. R. Math. Acad. Sci. Paris (2017), Cacace et al., Appl. Math. Model. (2017), Calignano, Materials & Design (2014), Dumas et al., ACM Trans. Graph. (2014), Gaynor and Guest, SMO (2016), Hu et al., Computer-Aided Design (2015), Kuo et al., SMO (2018), Langelaar, Additive Manufacturing (2016), Leary et al., Materials & Design (2014), Mirzendehtel and Suresh, Computer-Aided Design (2016), Qian, J. Num. Meth. Eng. (2017), Strano et al., Int. J. Adv. Manufact. Techn. (2013), Vanek et al., Computer Graphics Forum (2014), etc.

Support optimization or self-supported structure optimization.

- design domain  $D$
- given structure  $\omega \subset D$  (to be printed and non-optimizable)
- supports are denoted by  $S \subset D$



Linearized elasticity with **gravity loads** in  $\omega$  and  $S$ :



Simple model to mimick the effect of overhangs.

Linearized elasticity with **gravity loads** in  $\omega$  and  $S$ :

$$e(u) = \frac{1}{2}(\nabla u + \nabla^t u) \quad \text{and} \quad \sigma = 2\mu e(u) + \lambda \text{tr}(e(u))$$

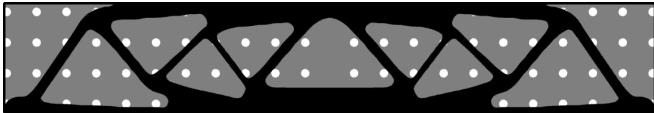
$\mu$  and  $\lambda$  may be different in  $\omega$  and  $S$  (which can be some homogenized lattice material)

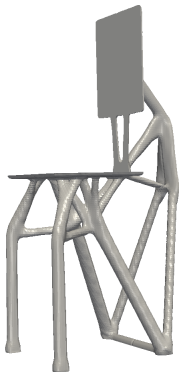
$$\begin{cases} -\text{div } \sigma & = & g(\rho_\omega \chi_\omega + \rho_S \chi_S) & \omega \cup S \\ u & = & 0 & \Gamma_D \\ \sigma \cdot n & = & 0 & \Gamma_N \end{cases}$$

Compliance minimization (with volume constraint):

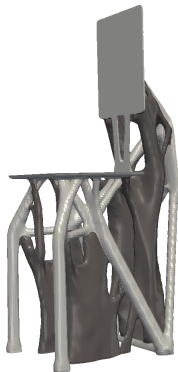
$$\min \mathcal{F}(S) = \int_{\omega \cup S} g(\rho_\omega \chi_\omega + \rho_S \chi_S) \cdot u$$







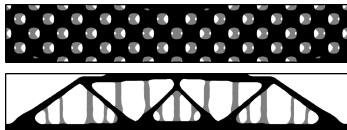
▶ Rotation



▶ Optimization

- at every iteration we solve **two** state equations : one for the final loads on the structure  $\omega$  alone and another for the building loads on the supported structure  $S \cup \omega$
- evolve the two shapes simultaneously using **two level set functions for the parametrization**
- **different shape derivatives on  $\partial\omega \setminus S, \partial S \setminus \omega$  and  $\partial\omega \cap \partial S$**

The MBB example: [▶ video](#)



- **No limits** for modeling ! Many possible variants...
- Ongoing work on **support optimization**  
G. Allaire, B. Bogosel, *Optimizing supports for additive manufacturing*, SMO 58(6), 2493-2515 (2018).  
G. Allaire, M. Bihl, B. Bogosel, *Support optimization in additive manufacturing for geometric and thermo-mechanical constraints*, submitted.
- Real experiments on building such structures.



## Other topics in additive manufacturing

- optimal control of the laser path (Mathilde Boissier)
- lattice materials (Perle Geoffroy-Donders, Alex Ferrer)
- multi-physics optimization (Florian Feppon)