

# **An update on the sum-product problem**

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**RICAM-Report 2020-39**

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September 29, 2020

## Abstract

We improve the best known sum-product estimates over the reals. We prove that

$$\max(|A + A|, |AA|) \geq |A|^{\frac{4}{3} + \frac{2}{1167} - o(1)},$$

for a finite  $A \subset \mathbb{R}$ , following a streamlining of the arguments of Solymosi, Konyagin and Shkredov. We include several new observations to our techniques.

Furthermore,

$$|AA + AA| \geq |A|^{\frac{127}{80} - o(1)}.$$

Besides, for a convex set  $A$  we show that

$$|A + A| \geq |A|^{\frac{30}{19} - o(1)}.$$

This paper is largely self-contained.

## 1 Introduction

Throughout  $A \subset \mathbb{R}$  is a finite set of positive real numbers, whose cardinality  $|A|$  exceeds some absolute constant. All other sets, denoted by upper-case letters, are also finite. The sumset of two sets  $A, B$  is defined, as usual as

$$A + B := \{a + b : a \in A, b \in B\},$$

with similar notations for the product and ratio sets,  $AB, A/B$ , etc.

The Erdős-Szemerédi sum-product conjecture, originally stated over the integers [6], is the following.

**Conjecture 1.** *For all  $\delta < 1$  and sufficiently large  $A \subseteq \mathbb{R}$ ,*

$$\max\{|A + A|, |AA|\} \geq |A|^{1+\delta}. \tag{1}$$

As shorthand notation, already used in the abstract, we could instead write this as  $\max\{|A + A|, |AA|\} \geq |A|^{2-o(1)}$ .

Historically, Erdős and Szemerédi proved [6] a qualitative (but quantifiable) sum-product estimate (1) with (in the notation of Conjecture 1) some  $\delta > 0$ , which Nathanson [15] and

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*The first author is partially supported by the Leverhulme Trust Grant RPG-2017-371. The second author is supported by the Austrian Science Fund FWF Project P 30405-N32. The authors thank Kit Battarbee for carefully reading the draft of this manuscript and Ilya Shkredov for discussions.*

Ford [7] showed to be  $\delta = 1/31$  and  $\delta = 1/15$  respectively. The textbook proof by Elekes [3] uses the geometric Szemerédi-Trotter theorem to advance to  $\delta = 1/4$ . In 2008 Solymosi [27] developed a different type of geometric argument to prove  $\delta = 1/3 - o(1)$ , which stood until Konyagin and Shkredov [10, 11] developed a synthetic approach, which enabled them to pass slightly beyond the value  $\delta = 1/3$ . Owing to technical improvements in [21, 23], the latter paper [23] by Shakan holds the current world record  $\delta = \frac{1}{3} + \frac{5}{5277} - o(1)$ . We push these technical developments yet further, and streamline the arguments in this approach, aiming to identify where it may be subject to improvement.

**Theorem 1.** *For a finite  $A \subseteq \mathbb{R}$  one has*

$$\max\{|AA|, |A + A|\} \geq |A|^{\frac{4}{3} + \frac{2}{1167} - o(1)}. \quad (2)$$

As a by-product of the techniques used in this paper we also prove a new bound on the cardinality of the set  $AA + AA$ . Geometrically, this is the set of dot products of pairs of vectors in the point set  $A \times A \subset \mathbb{R}^2$ . We note the the number of distinct dot products generated by a general set of points  $\mathcal{P} \subseteq \mathbb{R}^2$ , no better lower bound than  $|\mathcal{P}|^{2/3}$  is known; this lower bound comes from a single application of the Szemerédi-Trotter theorem (or, in fact, a single application of the weaker Beck theorem), see [8] for relevant discussion.

**Theorem 2.** *For a finite  $A \subseteq \mathbb{R}$  one has*

$$|AA + AA| \geq |A|^{\frac{3}{2} + \frac{7}{80} - o(1)}.$$

This improves on the previously best known exponent  $\frac{3}{2} + \frac{19}{12} - o(1)$  by Iosevich, Roche-Newton and the first author [8].

Another implication of our techniques is a new bound on the number of convex sums. The real set  $A = \{a_1 < a_2 < \dots < a_n\}$  is convex if the sequence of consecutive differences  $a_{i+1} - a_i$ ,  $i = 1, \dots, n-1$  is strictly increasing. Without loss of generality, we have  $a_i = f(i)$ , for some strictly convex real smooth function  $f(x)$ , so we write  $A = f([n])$ , where  $[n] := \{1, \dots, n\}$ .

Schoen and Shkredov [22] showed that a convex set  $A$  satisfies  $|A + A| \geq |A|^{14/9 - o(1)}$ ; we improve the current best exponent  $\frac{102}{65} - o(1)$  due to Olmezov [16].

**Theorem 3.** *Let  $A \subseteq \mathbb{R}$  be convex. Then*

$$|A + A| \geq |A|^{30/19 - o(1)}.$$

The best known exponent for the set of differences  $A - A$ , also due to Schoen and Shkredov [22] is slightly better:  $|A - A| \geq |A|^{8/5 - o(1)}$ . Formalising the heuristic that convexity should destroy additive structure, Erdős [5] conjectured the lower bound  $|A \pm A| \geq |A|^{2 - o(1)}$  for convex  $A$ . Stronger bounds  $|A \pm A| \geq |A|^{5/3 - o(1)}$  were recently proven by Olmezov [17] under additional assumptions on higher derivatives of  $f$ .

## Organisation of paper

The paper is arranged as follows. In Section 1.1 we give a non-technical outline of the proof of Theorem 1, following the strategy, designed by Konyagin and Shkredov [10], on Solymosi's pivotal inequality. We owe much of our quantitative improvement by applying the forthcoming Theorem 4 to this strategy, thus replacing a prototype result due to Shkredov [25].

In Theorem 4 we use the simple pigeonholing-based regularisation claim in Lemma 1, presented in Section 2 along with other more standard tools. The lemma was originally

proved in [20, Lemma 3.1]; it had a more cumbersome precursor in the form of [14, Lemma 7.2]. Lemma 1 replaces the use of Shkredov's *spectral method* (see the foundational paper [24] and references therein, as well as [14, 18] for its applications to the *Few Products, Many Sums* problem) that is typically used in this kind of argument. Statements reminiscent of Lemma 1 may be of broader interest in terms of various energy variants of the so-called Balog-Wooley decomposition [2], used in [21, 23, 26], where they would streamline lengthier arguments.

In order to keep the exposition self-contained and maximally jargon-free<sup>2</sup>, pursuing thereby an expository purpose, we present in Section 2 full proofs of technical lemmata based on the Szemerédi-Trotter theorem, emphasising that all the underlying arguments are in essence elementary combinatorics.

Sections 3 and 4 are structured to present the proof of Theorem 1 using Theorem 4 as a tool. The proof of Theorem 4 follows in Section 5. The proof of Theorem 4, as well as the incidence lemmata in Section 2 easily adapts to the convex set setting, also yielding Theorem 3. Finally, in Section 6 we prove Theorem 2 to yield a new lower bound on  $|AA+AA|$ . It is only by using the argument within the proof of Theorem 4 that we are able to claim a new lower bound.

## Notation

The symbols  $\ll, \gg, \sim$  suppress absolute constants in inequalities;  $\lesssim, \gtrsim$  also suppress powers of  $\log |A|$ . The Vinogradov symbol  $\ll$  may acquire a subscript, say  $\ll_s$  to indicate that the hidden constant depends on a parameter  $s$ .

We write  $aA = Aa := \{a\}A$  to denote the dilate of  $A$  by  $a \neq 0$ , similarly  $A+a$  or  $a+A$  for a translate. We will use the *number of realisations* notation  $r_{A+B}(x) := |\{(a, b) \in A \times B : x = a + b\}|$  to denote the number of realisations of the number  $x$  as a sum of elements from sets  $A$  and  $B$ . Similar notation will be used for e.g. the number of realisations of  $x$  as an element of  $AA$ , as  $r_{AA}(x)$ , etc.

### 1.1 Outline of the argument

Solymosi's renowned result [27] related the sumset of a set of positive reals  $A$  to its multiplicative energy

$$E^\times(A) := \left| \left\{ (a, b, c, d) \in A^4 : \frac{a}{b} = \frac{c}{d} \right\} \right| = \left| \left\{ (a, b, c, d) \in A^4 : ad = bc \right\} \right|.$$

Geometrically,  $E^\times(A)$  is the sum of the numbers of pairs of points of  $A \times A$  supported on lines through the origin, with slopes in  $A/A$ .

To sketch Solymosi's well-known argument as a base for further build-up, consider the model case: suppose that each line through the origin with slope  $\lambda \in A/A$  supports the same number of points  $\tau$  of  $A \times A$ . i.e. in the *number of realisations* notation, this is synonymous with  $\forall \lambda \in A/A, r_{A/A}(\lambda) = \tau$ . Then  $E^\times(A) = \tau^2 |A/A|$ .

Solymosi observed that taking vector sums of all pairs of points lying on pairs of lines with consecutive slopes yields distinct elements of  $(A + A) \times (A + A)$ : hence  $|A + A|^2 \geq \tau^2 |A/A| = E^\times(A)$ .

The restrictive assumption that each  $\lambda \in A/A$  has the same number number of realisations  $\tau$  is dismissed via the standard dyadic pigeonholing argument. This slightly weakens the above, to what we will refer to as *Solymosi's inequality*:

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<sup>2</sup>*I have always thought that clarity is a form of courtesy that the philosopher owes. . . This is different from the individual sciences which increasingly interpose between the treasure of their discoveries and the curiosity of the profane the tremendous dragon of . . . terminology.* J. Ortega y Gasset, 1929, see [19].

$$E^\times(A) \leq 4|A + A|^2 \lceil \log |A| \rceil. \quad (3)$$

Observe that if  $A = [n]$ , the inequality is sharp up to a constant. It follows by the Cauchy-Schwarz inequality that

$$|A + A|^2 |AA| \gg |A|^4 \log^{-1} |A|, \quad (4)$$

Hence the sum-product exponent  $\delta = \frac{1}{3} - o(1)$ , in terms of (1). The power of  $\log |A|$ , hidden in the  $o(1)$  term is no longer sharp in the case  $A = [n]$ , but nonetheless the  $o(1)$  term remains, see [7] for its precise asymptotics.

However, if  $A = [n]$ , then  $|AA| \geq |A|^{2-o(1)}$ , and in terms of Conjecture 1 there is nothing to prove. Assuming that  $A$  has more multiplicative structure, that is  $E^\times(A)$  is, by orders of magnitude, greater than its trivial value  $|A|^2$ , Konyagin and Shkredov designed the following procedure. Let us once again assume that for every  $\lambda \in A/A$ ,  $r_{A/A}(\lambda) = \tau$ . Set

$$N := C \frac{|A + A|^2}{E^\times(A)},$$

for a suitably large  $C$ . The purpose is to estimate  $N$  from below in the worst possible scenario for the sum-product inequality: when  $|A + A| = |AA|$ . If  $N \gg |A|^{3\epsilon}$ , one gets the improvement  $\frac{1}{3} \rightarrow \frac{1}{3} + \epsilon$  to Solymosi's value of  $\delta$  in the sum-product exponent.

Partition  $A/A$  by consecutive *bunches* (rather than pairs) of  $N > 2$  consecutive slopes. Suppose that each bunch of  $N\tau$  points contributes  $\gg N^2\tau^2$  distinct vector sums, rather than  $N\tau^2$  as Solymosi's estimate counts. This contradicts the definition of  $N$ , leading to  $C \ll 1$ . Hence, there are many collisions between pair-wise vector sums within a bunch. That is, each vector sum is attained (as a sum of two points lying on distinct slopes within the same bunch) roughly  $N$  times.

Interpreting this in terms of vector sums leads us to an algebraic conclusion: for most of the slopes  $\lambda \in A/A$ , there are  $\gg \tau^2 N^{-2}$  solutions to the equation  $a = a_1 + a_2$  where  $a \in A_\lambda := A \cap \lambda A$  (i.e.,  $a$  is an abscissa of a point in  $A \times A$  lying on the line through the origin with slope  $\lambda$ ), and  $a_1, a_2$  lie respectively some dilates of some  $A_{\lambda_1}, A_{\lambda_2}$ , with  $\lambda_{1,2}$  coming from the same bunch as  $\lambda$ . By the assumption, each variable  $a, a_1, a_2$  runs through  $\tau$  values, so that the number of solutions to the above equation is nearly maximum possible when  $N$  is small.

Upon this conclusion Konyagin and Shkredov took advantage of a fact that harks back to the paper by Elekes and Ruzsa [4], that *few sums imply many products*. On the technical level this required generalising the approach, founded in [4]. This was achieved with increasing efficiency in [10, 11, 21, 23]. Here we present a lucid and self-contained version of the argument, aiming at minimum auxiliary notation. The analysis is presented within the proof of forthcoming Proposition 1, whose key conclusion is that under the scenario in consideration, sets  $A_\lambda$  must have small multiplicative energy.

This implies that the product sets  $A_\lambda A_\lambda, AA_\lambda$  are quite large. Our somewhat different numerology allows us the additional new benefit of using the latter one. By the truism that Konyagin and Shkredov call the Katz and Koester [9] inclusion,  $AA_\lambda$  being large means that  $\lambda$  has at least  $|AA_\lambda|$  realisations as a ratio from  $AA/AA$ . By slicing  $A \times A$  with a vertical line, a subset of roughly  $\gg |A|$  such slopes  $\lambda$  can be identified with a subset of  $A$ , each member of which has many representations as a ratio from  $AA/AA$ . Shkredov [25] called such sets Szemerédi-Trotter sets and proved that they have fairly large sumsets [25, Theorem 11].

We avoid following Shkredov's rather general line of notation apropos of the Szemerédi-Trotter sets (see also e.g. [26], this notation was adopted by Shakan in [23]). Instead we spell out the suitable (and stronger) estimate in Theorem 4, which yields a lower bound on  $N$ , thus concluding the proof of Theorem 1.

**Theorem 4.** *Let  $A, \Pi \subset \mathbb{R} \setminus \{0\}$  be finite, with  $|\Pi| \geq |A|$  and  $r_{\Pi/\Pi}(a) \geq T$  for all  $a \in A$ , for some  $T \geq 1$ .*

*Then*

$$|A + A|^{19} |\Pi|^{44} \geq |A|^{41-o(1)} T^{33}.$$

With  $\Pi = AA$ , the analogous inequality used by Konyagin, Shkredov and others, in e.g. [10, 11, 21, 23] was

$$|A + A| \geq |A|^{58/37-o(1)} \cdot \left( d_+(A) := \frac{|AA|^4}{T^3 |A|} \right)^{-21/37} \Rightarrow |A + A|^{37} |AA|^{84} \geq |A|^{79-o(1)} T^{63}.$$

The estimate for  $\max\{|AA|, |A + A|\}$  given by Theorem 4 is better whenever  $|A|^{-16} T^{24} > 1$ . In the context of the implementation of the Konyagin-Shkredov strategy this is indeed the case, as one roughly has  $T \sim |A|^{4/3}$ .

We now outline the strategy of the proof of Theorem 4.

For finite subsets  $A, B$  of an additive group and a real  $s \geq 1$ , define the  $s$ -th energy of  $A$  and  $B$ :

$$E_s(A, B) := \sum_x r_{A-B}^s(x),$$

where  $r_{A-B}(x)$  is the number of realisations of the difference  $x$ . If  $B = A$ , write simply  $E_s(A)$  and drop the subscript  $s = 2$ ; in the special case  $s = 2$  we can replace instances of addition with subtraction. In a multiplicative group this definition coincides exactly with the multiplicative energy  $E^\times(A)$  defined above, the notation  $E$  for energy with respect to addition in  $\mathbb{R}$  bears no superscript.

Energy, as the number of solutions of one equation of several variables, can be estimated from above via incidence theorems. For reals, this is first and foremost the (generally sharp) Szemerédi-Trotter theorem [29], bounding the number of incidences between a set of points and a set of lines (or curves that “behave like lines”) in  $\mathbb{R}^2$ . However, here, the point set is always a Cartesian product, in which case the Szemerédi-Trotter incidence bound can be derived from the order-based, elementary double counting “lucky pairs” argument, that we first encountered in the paper by Solymosi and Tardos [28].

For a set  $A$ , which is convex or is such that  $|AA| \ll |A|$  and any set  $B$ , the energy  $E_3(A, B)$  can be bounded as  $|A|^{-1}$  times the number of collinear triples in the set  $A \times B$ , which the Szemerédi-Trotter theorem bounds sharply (up to constants) as  $|A|^2 |B|^2 \log |A|$ . In view of this, the third (or cubic) energy  $E_3(A, B)$  has played a key role in the strongest known sum-product type results over the reals, see e.g. [14, 18], as well as convex set bounds [22, 17].

Consider the following truism on triples  $(a, b, c) \in A^3$ :

$$b - c = (a + b) - (a + c). \tag{5}$$

This equation can be rewritten as  $d = x - y$ , where  $d \in A - A$ ,  $x, y \in A + A$ . One defines an equivalence relation on triples  $(a, b, c)$  yielding the same  $(d, x, y)$ : this happens if and only if one adds the same  $t \in \mathbb{R}$  to  $b, c$  and subtracts the number  $t$  from  $a$ . If  $\sigma$  denotes an equivalence class containing  $r(\sigma)$  triples  $(a, b, c) \in A^3$ , it is easy to bound

$$\sum_{\sigma} r^2(\sigma) \leq E_3(A).$$

There are  $|A|^3$  solutions  $(a, b, c)$  to (5), yet we will later impose some restrictions on  $b - c, a + b$ . On the other hand, we can use the Cauchy-Schwarz inequality to relate the number of

solutions to (5) to the product of  $\sum_{\sigma} r^2(\sigma)$  and the number of solutions to  $d = x - y$ . We use the Szemerédi-Trotter theorem and the Hölder inequality to get an upper bound on the number of solutions of the equation  $d = x - y$ .

This approach (founded in a series of works by Shkredov, see e.g. [24]) has been the key strategy in proving the recent *few products, many sums* results over the reals, towards the so-called *weak Erdős-Szemerédi conjecture* over  $\mathbb{R}$ . The weak Erdős-Szemerédi conjecture claims, roughly that if  $|AA| \rightarrow |A|$ , then  $|A + A| \rightarrow |A|^{2-o(1)}$ , with the parameter dependence hidden in  $\rightarrow$  being polynomial. This is a *few products, many sums* situation. In contrast to the converse *few sums, many products* scenario by Elekes and Ruzsa, the former question is wide open, the best known results can be found in [18], and states that when  $|AA| \rightarrow |A|$ , then  $|A + A| \rightarrow |A|^{8/5-o(1)}$ .

The problem is that the equation  $d = x - y$ , where  $d \in A - A$ ,  $x, y \in A + A$  involves unavoidably the differences from  $A$ , which can be generally related to sums only via the additive energy  $E(A)$ . This forces one to restrict  $d$  (as well as the quantities  $x, y$  for the purpose of being able to prove good upper bounds) to some popular subsets of  $A - A$  and  $A + A$ . This makes the set of  $(a, b, c)$ , on which the truism (5) is considered thinner, undermining the lower bound on the number of solutions of the equation  $d = x - y$ .

Shkredov's spectral method, see e.g. [24, 26, 14, 18] successfully provides lower bounds, involving restricted subsets of differences and sums, by extending the equation  $d = x - y$  to

$$\alpha - \beta = d = x - y, \quad (6)$$

with the additional variables  $\alpha, \beta \in A$ . However, this creates additional challenges for proving upper bounds on the number of solutions. The key element of the proof of Theorem 4 is the use of Lemma 1 instead of the spectral method. This enables us to avoid (6), and deal instead with the equation  $d = x - y$ , where we can provide both suitable lower and upper bounds for the number of solutions, under the required popularity assumptions on the quantities  $d, x, y$ . However, we know no way to do without the spectral method for estimating  $E(A)$  for  $A$  with small multiplicative doubling [14, 18].

## 2 Preliminaries

We begin with the following regularisation lemma.

**Lemma 1.** *Let  $\mathcal{R}_{\varepsilon}$  be a deterministic rule (procedure) with parameter  $\varepsilon \in (0, 1)$  that, to every sufficiently large finite additive set  $X$ , associates a subset  $\mathcal{R}_{\varepsilon}(X) \subseteq X$  of cardinality  $|\mathcal{R}_{\varepsilon}(X)| \geq (1 - \varepsilon)|X|$ .*

*For any such rule  $\mathcal{R}_{\varepsilon}$ , any  $s > 1$  and a sufficiently large finite set  $A$ , set  $\varepsilon = c_1 \log^{-1}(|A|)$  for some  $c_1 \in (0, 1)$ . Then there exists a set  $B \subseteq A$  (depending on  $\mathcal{R}_{\varepsilon}, s$ ), with  $|B| \geq (1 - c_1)|A|$  such that*

$$E_s(\mathcal{R}_{\varepsilon}(B)) \geq c_2 E_s(B),$$

*for some constant  $c_2 = c_2(s, c_1)$  in  $(0, 1]$ .*

*Proof.* Construct a sequence of subsets of  $A$  as follows. Set  $A_0 := A$ ; if  $E_s(A_{i+1}) < c_2 E_s(A_i)$ , define  $A_{i+1} := \mathcal{R}_{\varepsilon}(A_i)$ . By definition of  $\mathcal{R}_{\varepsilon}$ , we have the lower bound  $|A_i| \geq (1 - \varepsilon)^i |A| \geq (1 - i\varepsilon)|A|$  for any index  $i$  for which  $A_i$  is defined.

This process must terminate after at most  $I = \lceil \log |A| \rceil$  iterations. Indeed, suppose that we have constructed the set  $A_I$ . Then, using the trivial bound  $|A_I|^2 \leq E_s(A_I) \leq |A_I|^{s+1}$ , we have

$$(1 - c_1)^2 |A|^2 \leq |A_I|^2 \leq E_s(A_I) < c_2 E_s(A_{I-1}) \leq c_2^I E_n(A) \leq |A|^{s+1 - \log c_2^{-1}}.$$

A suitable choice of  $c_2 = c_2(s, c_1)$  yields a contradiction.  $\square$

In the sequel, if we have a set  $A' \subseteq A$  satisfying  $|A'| \gg |A|$ , we may call  $A'$  a *positive proportion* subset of  $A$ .

The remaining lemmata in this section present the (elementary) version of the Szemerédi-Trotter theorem we need and show how it is used to furnish energy estimates.

**Lemma 2.** *Let  $A, B \subset \mathbb{R}$  be finite sets and  $L$  a set of affine lines or translates of a strictly convex curve  $y = f(x)$ . The number of incidences between the point set  $A \times B$  with  $L$  is<sup>3</sup>  $O\left(|A||B||L|^{\frac{2}{3}} + |L|\right)$ .*

*In particular, for  $k \geq 2$  the number of lines (curves) with  $\geq k$  points is  $O\left(\frac{(|A||B|)^2}{k^3}\right)$ .*

Note that affine lines have finite nonzero slopes. For an elementary “lucky pairs” proof of Lemma 2 when  $L$  is the set of affine lines see [28]. The same proof works for translates of a convex curve.

The next two lemmata collect the bounds we need, based on Lemma 2.

**Lemma 3.** *Let  $A, B, C, \Pi_1, \Pi_2 \subset \mathbb{R}$  be finite sets with the property that  $r_{\Pi_1\Pi_2}(a) \geq T$  for all  $a \in A$  and some  $T \geq 1$ . Then if  $|\Pi_1||C| \leq |\Pi_2|^2|B|^2$ ,*

$$|\{c = a - b; a \in A, b \in B, c \in C\}| \ll \frac{(|\Pi_1||\Pi_2||B||C|)^{2/3}}{T}. \quad (7)$$

Besides, if  $|\Pi_1||A| \leq |\Pi_2|^2|B|$ ,

$$E_3(A, B) \ll \frac{|B|^2|\Pi_1|^2|\Pi_2|^2 \log |A|}{T^3} \quad (8)$$

and for  $s \in (1, 3)$

$$E_s(A, B) \ll_s \frac{(|\Pi_1||\Pi_2|)^{s-1}|B|^{\frac{s+1}{2}}|A|^{\frac{3-s}{2}}}{T^{\frac{3(s-1)}{2}}}. \quad (9)$$

Furthermore, if  $A$  is convex, then if  $|C| \leq |A||B|^2$ ,

$$|\{c = a - b; a \in A, b \in B, c \in C\}| \ll |A|^{1/3}(|B||C|)^{2/3}.$$

and for  $s \in (1, 3)$

$$E_s(A, B) \ll_s |A||B|^{\frac{s+1}{2}}, \quad E_3(A, B) \ll |A||B|^2 \log |A|.$$

*Proof.* Note that the cardinality relations between the sets involved serve only for one to be able to disregard the trivial term  $|L|$  in the Szemerédi-Trotter incidence estimate of Lemma 2. Without loss of generality  $|\Pi_1| \leq |\Pi_2|$ .

To prove (7) observe that

$$\begin{aligned} |\{c = a - b; a \in A, b \in B, c \in C\}| &\leq T^{-1}|\{c = pq - b; p \in \Pi_1, q \in \Pi_2, b \in B, c \in C\}| \\ &\ll T^{-1}[|C||B||\Pi_1||\Pi_2|]^{2/3} + |\Pi_1||C| \\ &\ll T^{-1}(|C||B||\Pi_1||\Pi_2|)^{2/3}, \end{aligned} \quad (10)$$

by Lemma 2 and the assumptions on set cardinalities.

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<sup>3</sup>The term  $|L|$  in this estimate can be written more precisely as  $|\{l \in L : |l \cap (A \times B)| = 1\}|$ .

Furthermore, for an integer  $k \in [1, \min(|A|, |B|)]$  define

$$D_k : \{d \in A - B : r_{A-B}(x) \geq k\}$$

as the set of  $k$ -popular differences, clearly  $|D_k| \leq |A||B|$ .

By definition of  $D_k$ , as in (10), with  $D_k$  as  $C$  in its right-hand side we have

$$\begin{aligned} |D_k|k &\leq \frac{1}{T} |\{d = pq - b : d \in D_k, p \in \Pi_1, q \in \Pi_2, b \in B\}| \\ &\ll \frac{1}{T} (|D_k||B||\Pi_1||\Pi_2|)^{2/3}. \end{aligned}$$

Hence

$$|D_k| \ll \frac{(|B||\Pi_1||\Pi_2|)^2}{(kT)^3}. \quad (11)$$

Then bound (8) follows after dyadic summation in  $k = 2^j$ , namely

$$\mathbf{E}_3(A, B) \ll \sum_{1 \leq j \leq \log |A|} (2^{3j}) |D_{2^j}| \ll \frac{|B|^2 |\Pi_1|^2 |\Pi_2|^2 \log |A|}{T^3},$$

as claimed.

Similarly, for  $1 < s < 3$  dyadic summation leads, for any  $k \in [1, \min(|A|, |B|)]$ , to the bound

$$\sum_{x \in A-B: r_{A-B}(x) \geq k} r_{A-B}^s(x) \ll_s \frac{1}{k^{3-s}} \frac{|B|^2 |\Pi_1|^2 |\Pi_2|^2}{T^3},$$

where the hidden constant depends on  $s$ .

The remaining counterpart of  $\mathbf{E}_s(A, B)$  is

$$\sum_{x \in A-B: r_{A-B}(x) < k} r_{A-B}^s(x) \leq k^{s-1} |A||B|.$$

Optimising the two latter bounds by choosing

$$k = |\Pi_1||\Pi_2| \sqrt{\frac{|B|}{|A|T^3}}$$

completes the proof of inequality (9).

For a convex  $A = f([|A|])$  we want to show that same bounds as (8), (9) hold if one formally replaces  $|\Pi_1| = |\Pi_2| = T = |A|$ . Let us use the same notation  $D_k$  as above, writing for a single representation of each of its element  $d$  in  $\geq \lfloor |A|/2 \rfloor$  ways

$$d = f(i) - b_i = f(i + j - j) - b_i = f(l - j) - b_i.$$

(Without loss of generality we have assumed  $i \leq |A|/2$ : otherwise we would do  $i = (i - j) + j$ , which leads to the same estimate using Lemma 2.) Hence,  $k|D_k|$  is bounded from above via  $|A|^{-1}$  times the number of incidences between the point set  $[|A|] \times B$  and  $|A||D_k|$  translates of the curve  $y = f(x)$ . Applying Lemma 2 yields, with no constraints on  $B$

$$|D_k| \ll \frac{|A||B|^2}{k^3}.$$

The claimed energy bounds follow similar to (8), (9). □

Let us finally include the aforementioned *few sums, many products* estimate. The following lemma is in essence a restatement of [12, Lemma 2.5]. We provide a slightly shorter proof, which easily generalises for point sets that are not Cartesian products – see the remark following the proof.

**Lemma 4.** *Let  $A \subset \mathbb{R} \setminus \{0\}$  and  $\Pi_1, \Pi_2 \subset \mathbb{R}$  be finite sets with  $|\Pi_2|, |\Pi_1| \geq |A|$ , and the property that  $r_{\Pi_1 - \Pi_2}(a) \geq T$  for all  $a \in A$  and some  $T \geq 1$ .*

*Then*

$$E^\times(A) \ll \frac{|\Pi_1|^3 |\Pi_2|^3 \log |\Pi_1|}{T^4}. \quad (12)$$

*Proof.* To estimate

$$E^\times(A) = \left| \left\{ (a, b, c, d) \in A^4 : \frac{a}{b} = \frac{c}{d} \right\} \right|$$

observe that this quantity is bounded from above by  $T^{-4}$  times the number of solutions of the equation

$$t = \frac{x - y}{x' - y'} = \frac{u - v}{u' - v'} : x, x', u, u' \in \Pi_1, y, y', v, v' \in \Pi_2, t \in \mathbb{R} \setminus \{0\}. \quad (13)$$

The latter number of solutions, the variables  $x, \dots, v', t$  belonging to the sets as specified, is

$$\sum_t \left( \sum_{x, y'} r_{(x - \Pi_2)/(\Pi_1 - y')}(t) \right)^2 \leq |\Pi_1| |\Pi_2| \sum_t \sum_{x, y'} r_{(x - \Pi_2)/(\Pi_1 - y')}(t)^2,$$

by Cauchy-Schwarz. Rearranging the fractions

$$\sum_{t \in \mathbb{R} \setminus \{0\}} \sum_{x, y'} r_{(x - \Pi_2)/(\Pi_1 - y')}(t)^2 = \left| \left\{ \frac{x - v}{x - v'} = \frac{y' - u}{y' - u'} : x, u, u' \in \Pi_1, y', v, v' \in \Pi_2 : y' \neq u, u', x \neq v, v' \right\} \right|.$$

The latter quantity is the number of affine collinear triples with two  $(u, v), (u', v') \in \Pi_1 \times \Pi_2$  and one, different from both of the above,  $(y', x) \in \Pi_2 \times \Pi_1$ . The trivial aspect of the count when  $(u, v) = (u', v')$  yields merely  $|\Pi_1|^2 |\Pi_2|^2$ .

Otherwise by Lemma 2, the number of  $k \geq 2$  rich affine lines in  $\Pi_1 \times \Pi_2$  is  $O\left(\frac{(|\Pi_1| |\Pi_2|)^2}{k^3}\right)$ .

We now take dyadic values  $k_j = 2^j$ ,  $j \geq 1$ , partitioning these lines into groups  $L_{k_j}$ , supporting the number of points of  $\Pi_1 \times \Pi_2$  in the interval  $[2^j, 2^{j+1})$ . The number of pairs of points from  $\Pi_1 \times \Pi_2$  on a line from the  $j$ th group is  $\leq 4k_j^2$ . Furthermore, by Lemma 2, the number of incidences between  $L_{k_j}$  and  $\Pi_2 \times \Pi_1$  is

$$O\left((|L_{k_j}| |\Pi_1| |\Pi_2|)^{2/3} + |L_{k_j}|\right) \ll \frac{|\Pi_1|^2 |\Pi_2|^2}{k_j^2}.$$

Multiplying by the latter bound by  $|\Pi_1| |\Pi_2| k_j^2$  and summing in  $O(\log |\Pi_1|)$  values of  $j$  absorbs the above trivial bound for the case  $(u, v) = (u', v')$  and completes the proof.  $\square$

We remark that the proof of Lemma 4 easily adapts to yield the following statement.

*Let  $P \subset \mathbb{R}^2$  have empty intersection with coordinate axes and  $Q \subset \mathbb{R}^2$  meet any line  $l$  in at most  $|Q|^{1/2}$  points. Suppose,  $\forall p \in P$ ,  $r_{Q-Q}(p) \geq T^2$ , for some  $T \geq 1$  (or the same for  $r_{Q+Q}(p)$ ). Then*

$$\sum_{l: (0,0) \in l} |P \cap l|^2 \ll \frac{|Q|^3 \log |Q|}{T^4}.$$

### 3 Proof of Theorem 1

Without loss of generality, assume that  $A$  has positive elements. Denote  $|A + A| = K|A|$  and  $|AA| = M|A|$ , referring to  $K, M$  as respectively the additive and multiplicative doubling constants of  $A$ . Clearly  $1 \leq K, M \leq |A|$ . We will show that  $\max(K, M) \geq |A|^{\frac{1}{3} + \frac{2}{1167} - o(1)}$ .

Consider the point set  $A \times A \in \mathbb{R}^2$ . We begin by an application of the dyadic pigeonhole principle to extract a subset of  $A \times A$  which supports at least a logarithmic factor of the energy of  $A$ : decompose the set of  $|A/A|$  slopes through the origin supporting  $A \times A$  into  $\ll \log |A|$  dyadic sets, where each slope in the  $j$ -th dyadic set  $S_j$  contains between  $2^{j-1}$  and  $2^j - 1$  points of  $A \times A$ . Then  $\sum_j |S_j| 2^{2(j-1)} \leq \mathbf{E}^\times(A) < \sum_j |S_j| 2^{2j}$ . Let  $j_0$  denote the index for which  $|S_{j_0}| 2^{2j_0}$  is maximal, and write  $S_\tau = S_{j_0}, \tau = 2^{j_0}$ . Then  $|S_\tau| \tau^2 \gg \mathbf{E}^\times(A) / \log |A|$ . We may further assume that  $\tau > C$  for some (large) constant  $C > 0$ , since otherwise  $\mathbf{E}^\times(A) \ll |A|^2$ , and there is nothing to prove.

Let the points of  $A \times A$  on the line with the slope  $\lambda \in S_\tau$  be written as

$$\mathcal{A}_\lambda := \{(a, \lambda a) \in A \times A : a \in A_\lambda \subseteq A\}, \quad |A_\lambda| \in [\tau, 2\tau).$$

The set  $A_\lambda = A \cap \lambda A$  is the set of the abscissae of points in  $\mathcal{A}_\lambda \subset \ell_\lambda$ , where  $\ell_\lambda$  is the line through the origin with slope  $\lambda$ .

**Proposition 1.** *With the notation as above, there exists  $S'_\tau \subseteq S_\tau$  with  $|S'_\tau| \geq \frac{1}{8}|S_\tau|$  such that, for every  $\lambda \in S'_\tau$ ,*

$$|AA_\lambda| \gg \frac{|A|^6}{M^4 K^8 |S_\tau|^{1/2} (\log |A|)^7}.$$

We apply Proposition 1 to  $A$  to pass from  $\lambda \in S_\tau$  to  $\lambda \in S'_\tau$ . Clearly,  $r_{A/A_\lambda}(\lambda) = |A_\lambda|$ , by definition of  $A_\lambda$ .

Thus for any  $a \in A$  and any  $a_\lambda \in A_\lambda$ , one has ( $A$  does not contain zero),

$$\lambda = \frac{a(\lambda a_\lambda)}{aa_\lambda} \in AA/AA.$$

(Konyagin and Shkredov refer to this truism as the Katz and Koester inclusion introduced in [9]). There are  $|A_\lambda A|$  distinct values of the denominator  $aa_\lambda$ . Thus, by the lower bound of Proposition 1,

$$\forall \lambda \in S'_\tau, \quad r_{AA/AA}(\lambda) \geq |AA_\lambda| \gg \frac{|A|^6}{|S_\tau|^{1/2} M^4 K^8 (\log |A|)^7} := T. \quad (14)$$

It remains to relate the set  $S'_\tau$  to  $A$  and use the Theorem 4. Namely, choose a subset of  $S'_\tau$  by intersecting the set of at least  $\tau S'_\tau$  points of  $A \times A$  supported on lines through the origin with slopes in  $S'_\tau$ , by a vertical line with some fixed abscissa  $a_0 \in A$ . By the pigeonhole principle, there is  $a_0 \in A$ , where the intersection has cardinality at least the average  $\frac{\tau |S'_\tau|}{|A|}$ .

Without loss of generality  $a_0 = 1$ . Thus we have  $B \subseteq A$ , with  $|B| \geq \frac{\tau |S'_\tau|}{|A|}$  and such that  $\forall b \in B, r_{AA/AA}(b) \gg T$ .

Using  $K|A| = |A+A| \geq |B+B|$  we apply Theorem 4 to the set  $B$  with  $\Pi = AA$  and  $T = T$ , defined in (14), to get a lower bound for  $|B+B|$ . We group together  $|S_\tau| \tau^2 \gg \mathbf{E}^\times(A) \log^{-1} |A|$  to take advantage of the Cauchy-Schwarz relation  $\mathbf{E}^\times(A) \geq |A|^3/M$ , so that (suppressing powers of  $\log |A|$ ):

$$K^{283} M^{176} \gtrsim |A|^{94} (|S_\tau| \tau^2)^{41/2} |S_\tau|^4.$$

We recycle the bound on  $T$  to bound  $|S_\tau|$ : since  $M|A| = |AA| \geq |AA_\lambda| \gg T$ , we have that  $|S_\tau| \geq |A|^{10}M^{-10}K^{-16}$ . This yields the inequality

$$K^{694}M^{473} \gtrsim |A|^{391}$$

whence the claim of Theorem 1 follows.  $\square$

## 4 Proof of Proposition 1

Recall that  $A$  is positive,  $|A + A| = K|A|$ ,  $|AA| = M|A|$  and the energy  $\mathbf{E}^\times(A)$  is supported on slopes  $S_\tau \subseteq A/A$  so that  $r_{A/A}(\lambda) \in [\tau, 2\tau)$  for each  $\lambda \in S_\tau$ .

Take a natural number

$$N := CK^2M|A|^{-1} \log |A|, \quad (15)$$

for a sufficiently large (for forthcoming purposes)  $C$ , say  $C > 128$ . From Solymosi's inequality (3), it follows that  $K^2M \geq \frac{1}{4}|A|[\log |A|]^{-1}$ , hence we can assume that  $N$  is sufficiently bigger than 2. Also clearly, say  $N < |A|^{1/2}$ , that is  $N$  is sufficiently small in comparison to  $|S_\tau|$ . (One can assume, say  $|S_\tau| \geq |A|^{1/2}$ , for otherwise  $M \geq |A|^{1/2 - o(1)}$  and there is nothing to prove).

The slopes in  $S_\tau$  are positive; we order them by increasing value and partition  $S_\tau$  into bunches of  $N$  consecutive lines. There are  $\lfloor |S_\tau|/N \rfloor$  'full' bunches of exactly  $N$  slopes, and at most one additional bunch with fewer than  $N$  slopes that we delete with no consequence, since  $N$  is very small relative to  $|S_\tau|$ . So further assume that all the bunches are full.

For each pair of distinct slopes  $\lambda_i, \lambda_j$  in a fixed bunch  $\mathcal{B}$ , we create between  $\tau^2$  and  $4\tau^2$  vectors in  $(A + A) \times (A + A)$  from the sum of each of the  $\sim \tau$  elements of  $A \times A$  supported on the line  $\ell_{\lambda_i}$ , with each of the  $\sim \tau$  elements of  $A \times A$  supported on  $\ell_{\lambda_j}$ . Moreover, the  $\sim \tau^2$  vector sums lie between  $\ell_{\lambda_i}$  and  $\ell_{\lambda_j}$ , and in particular, between the two extremal slopes in the bunch. A new element in  $(A + A) \times (A + A)$  created thus could readily appear from multiple pairs of slopes within the same bunch, and so we must account for this over-counting. Note however that an element of  $(A + A) \times (A + A)$  created in this way cannot have come from from pairs in two different bunches.

By the inclusion-exclusion principle, the number of new points in  $(A + A) \times (A + A)$  created from forming vector sums from a fixed bunch  $\mathcal{B} \subset S_\tau$  is at least

$$\tau^2 \binom{N}{2} - \left( Q := \sum_{\lambda_i, \lambda_j, \lambda_k, \lambda_l \in \mathcal{B}} |[\mathcal{A}_{\lambda_i} + \mathcal{A}_{\lambda_j}] \cap [\mathcal{A}_{\lambda_k} + \mathcal{A}_{\lambda_l}]| \right), \quad (16)$$

where the sum is taken over distinct pairs of slopes:  $\lambda_i \neq \lambda_j$ ,  $\lambda_k \neq \lambda_l$  and  $\{\lambda_i, \lambda_j\} \neq \{\lambda_k, \lambda_l\}$ .

Suppose that for, say 50% of the bunches  $\mathcal{B}$  we have the following bound for the number of collisions  $Q$ :

$$Q \leq c \frac{N^4 \tau^2 |A|^2}{K^4 M^2 \log^2 |A|}, \quad (17)$$

with a sufficiently small  $c$ , say  $c < \frac{1}{4C^2}$ . Let us show that this leads to a contradiction.

Indeed, if we assume (17), then, for at least half of the bunches, we bound (16) as  $\binom{N}{2} \tau^2 - Q \geq \frac{1}{4} N^2 \tau^2$ . Therefore the number of distinct vector sums created within each of these bunches is  $\geq \frac{1}{4} N^2 \tau^2$ . Since these vector sums lie in  $(A + A) \times (A + A)$ , we have the bound

$$K^2 |A|^2 = |A + A|^2 \geq \left\lfloor \frac{|S_\tau|}{2N} \right\rfloor \frac{N^2 \tau^2}{4} \geq \frac{1}{16} (CK^2M|A|^{-1} \log |A|) \frac{\mathbf{E}^\times(A)}{4 \log |A|} \geq \frac{CK^2 |A|^2}{128}.$$

This is indeed a contradiction, since we chose  $C > 128$ . In fact, the value of  $N$  is chosen precisely to ensure this contradiction, so that there are many collisions within a bunch.

It follows that at least half the bunches created from  $S_\tau$  do not satisfy (17); in other words, there exists a set of slopes  $S'_\tau \subseteq S_\tau$  with  $|S'_\tau| \geq \frac{1}{2}|S_\tau|$  so that we can partition  $S'_\tau$  into bunches of size  $N$ , with the quantity  $Q$  associated to each bunch satisfying the converse of (17).

By the same argument, within each bunch there are at least 75% of pairs  $(k, l)$  for which the quantity  $Q_{kl}$ , defined as part of the sum  $Q$  from (16) with the fixed  $(k, l)$ , satisfies that

$$Q_{kl} > \frac{c}{4} \frac{N^2 \tau^2 |A|^2}{K^4 M^2 \log^2 |A|}. \quad (18)$$

Indeed, otherwise one arrives at the same contradiction by taking the union of the vector sums from  $\mathcal{A}_{\lambda_k} + \mathcal{A}_{\lambda_l}$  over  $(k, l)$ , where  $Q_{kl}$  is small and ending up with more vector sums than  $|A + A|^2$ .

The term  $Q$  for a bunch  $\mathcal{B}$  counts the number of solutions to the vector equation

$$(a_i, \lambda_i a_i) + (a_j, \lambda_j a_j) = (a_k, \lambda_k a_k) + (a_l, \lambda_l a_l), \quad a_i \in A_{\lambda_i}, \dots, a_l \in A_{\lambda_l}.$$

Eliminating the variable  $a_l$ , the quantity  $Q$  equals the number of solutions, over the admissible indices within  $\mathcal{B}$ , of the scalar equation

$$a_k = \frac{\lambda_i - \lambda_l}{\lambda_k - \lambda_l} a_i + \frac{\lambda_j - \lambda_l}{\lambda_k - \lambda_l} a_j. \quad (19)$$

The notation  $Q_{kl}$  fixes the values  $\lambda_k, \lambda_l$ .

By the claim (18) and the pigeonhole principle, for each bunch  $\mathcal{B} \subseteq S'_\tau$ , we can find a set of at least  $\frac{N}{2}$  slopes  $\lambda_k \in \mathcal{B}$  so that there exist slopes  $\lambda_i, \lambda_j, \lambda_l \in \mathcal{B}$  such that equation (19), that we recast as

$$a_k = c_i a_i - c_j a_j : a_k \in A_{\lambda_k}, a_i \in A_{\lambda_i}, a_j \in A_{\lambda_j}$$

has

$$\gg \tau^2 N^{-2} \gg \frac{\tau^2 |A|^2}{16 K^4 M^2 \log^2 |A|} := S \quad (20)$$

distinct solutions.

Let us redefine  $S'_\tau$  as the subset of the above slopes  $\lambda_k$ , so that  $|S'_\tau| \geq \frac{1}{8}|S_\tau|$  (in fact, we can manipulate with the constants in the argument so that  $S'_\tau$  constitutes any desired proportion of  $S_\tau$ ).

For each  $\lambda \in S'_\tau$  let  $A'_\lambda \subseteq A_\lambda$  be a dyadic popular set. Namely, we partition the set of  $a \in A_\lambda$  by dyadic values of their number of realisations as the difference above, that is a member of the partition is identified by integer  $0 \leq s \ll \log |A|$ , so that  $r_{c_i A_{\lambda_i} - c_j A_{\lambda_j}}(a) \in [2^s, 2^{s+1})$ . Let  $A'_\lambda$  be the dyadic subset with the largest contribution to the number of solutions to (19), by the pigeonhole principle

$$\forall a \in A'_\lambda, \quad r_{c_i A_{\lambda_i} - c_j A_{\lambda_j}}(a) \geq \frac{S}{2|A'_\lambda| \log |A|}.$$

Applying Lemma 4 to  $A'_\lambda$  with  $T = \frac{S}{2|A'_\lambda| \log |A|}$  and  $|\Pi_1|, |\Pi_2| \in [\tau, 2\tau)$  yields

$$\mathbb{E}^\times(A'_\lambda) \ll \frac{\tau^6 |A'_\lambda|^4 (\log |A|)^5}{S^4}. \quad (21)$$

After two more applications of the Cauchy-Schwarz inequality, we get

$$|AA_\lambda| \geq |AA'_\lambda| \geq \frac{|A|^2|A'_\lambda|^2}{\mathbf{E}^\times(A, A'_\lambda)} \geq \frac{|A|^2|A'_\lambda|^2}{\sqrt{\mathbf{E}^\times(A)\mathbf{E}^\times(A'_\lambda)}}.$$

Substituting the value of  $S$  from (20) and  $\mathbf{E}^\times(A) \gg |S_\tau|\tau^2 \log^{-1}|A|$  completes the proof.  $\square$

Reviewing the proof of Theorem 1, we remark that the main reasons why, within the Konyagin-Shkredov strategy, the gain over  $\delta = \frac{1}{3}$  is so small are (i) a large power  $N^8$  of  $N$  (defined by (15) precisely to measure the eventual gain over  $\delta = \frac{1}{3}$ ) in estimate (21) (where  $S = \tau^2 N^{-2}$ ) and (ii) the relative weakness of the forthcoming Theorem 4. Lowering the power  $N^8$  would require an unlikely improvement of the symmetric version of Lemma 4. A stronger version of Theorem 4 might come from further quantitative progress in understanding the *Few Products, Many Sums*, alias weak Erdős-Szemerédi conjecture, thus re-emphasising its pivotal role in the sum-product theory at large.

## 5 Proof of Theorems 3 and 4

In this section we prove the following statement implying both theorems.

**Theorem 5.** *Let finite  $A, \Pi_1, \Pi_2 \subset \mathbb{R} \setminus \{0\}$  satisfy  $|\Pi_1|, |\Pi_2| \geq |A|$ .*

*(i) If  $r_{\Pi_1\Pi_2}(a) \geq T$  for all  $a \in A$ , for some  $T \geq 1$ , then*

$$|A + A|^{19}|\Pi_1|^{22}|\Pi_2|^{22} \gg |A|^{41}T^{33}(\log |A|)^{-23}.$$

*(ii) If  $A$  is a convex set, then*

$$|A + A| \gg |A|^{30/19}(\log |A|)^{-23/19}.$$

*Proof.* We begin with a regularisation argument, applying Lemma 1 to the forthcoming procedure  $\mathcal{R}$ . This will yield a positive proportion set of  $B \subseteq A$ , containing a large subset  $\mathcal{R}(B)$  supporting most of the additive energy of  $B$ . We will then study linear relations among elements of  $B$  and  $\mathcal{R}(B)$ .

Let  $P_\varepsilon(A)$  be the set of popular sums of  $A$ , where ‘popular’ is defined according to some  $\varepsilon \in (0, 1)$ :

$$P_\varepsilon(A) := \left\{ x \in A + A : r_{A+A}(x) \geq \varepsilon \frac{|A|^2}{|A + A|} \right\}. \quad (22)$$

The set  $P_\varepsilon(A)$  supports most of the mass of  $A \times A$ . That is,

$$|\{(a, b) \in A \times A : a + b \in P_\varepsilon(A)\}| \geq (1 - \varepsilon)|A|^2.$$

Indeed, we have

$$|A|^2 = \sum_x r_{A+A}(x) = \sum_{x \in P_\varepsilon(A)} r_{A+A}(x) + \sum_{x \notin P_\varepsilon(A)} r_{A+A}(x) < \sum_{x \in P_\varepsilon(A)} r_{A+A}(x) + \varepsilon|A|^2.$$

Let  $\mathcal{R}(A) \subseteq A$  correspond to ‘rich’ abscissae in  $A \times A$ , namely

$$\mathcal{R}(A) := \left\{ a \in A : |(a + A) \cap P_\varepsilon(A)| \geq \frac{1}{2}|A| \right\}.$$

Clearly  $\mathcal{R}$  is a deterministic procedure that creates a subset of  $A$ . We show that  $|\mathcal{R}(A)| \geq (1 - 2\varepsilon)|A|$ , or equivalently, in the notation of Lemma 1, that  $\mathcal{R}(A) = \mathcal{R}_{2\varepsilon}(A)$ . To justify this claim, we use (22):

$$(1 - \varepsilon)|A|^2 \leq |\{(a, b) \in \mathcal{R}(A) \times A : a + b \in \mathcal{P}_\varepsilon(A)\}| + |\{(a, b) \in (A \setminus \mathcal{R}(A)) \times A : a + b \in \mathcal{P}_\varepsilon(A)\}| \\ \leq |A||\mathcal{R}(A)| + \frac{1}{2}|A|(|A| - |\mathcal{R}(A)|).$$

A rearrangement shows that  $|\mathcal{R}(A)| \geq (1 - 2\varepsilon)|A|$  and so  $\mathcal{R}(A) = \mathcal{R}_{2\varepsilon}(A)$ .

Having now defined a deterministic rule, let us apply Lemma 1 to the set  $A$ , setting  $\varepsilon = \frac{1}{2} \log^{-1} |A|$ . We obtain a set  $B \subseteq A$  with  $|B| \geq |A|/2$  such that  $\mathbf{E}(\mathcal{R}(B)) \gg \mathbf{E}(B)$ . The advantage of dealing with the sets  $B$  and  $\mathcal{R}(B)$  versus  $A$  and  $\mathcal{R}(A)$  is twofold: to each  $b \in \mathcal{R}(B)$  we can add at least  $\frac{1}{2}|B|$  distinct members of  $B$  to obtain a popular sum in  $P_\varepsilon(B)$ . Secondly, we have ruled out the adverse potential scenario in which the energy of  $\mathcal{R}(A)$  is much less than the energy of  $A$ .

Let  $D \subseteq \mathcal{R}(B) - \mathcal{R}(B)$  be the dyadic set supporting the energy of  $\mathbf{E}(\mathcal{R}(B))$ , so that, for some  $\Delta \geq 1$  we have

$$\mathbf{E}(B) \ll \mathbf{E}(\mathcal{R}(B)) \ll \Delta^2 |D| \log |A|$$

and for all  $d \in D$ , we have  $r_{\mathcal{R}(B) - \mathcal{R}(B)}(d) \in [\Delta, 2\Delta]$ . Note that  $D$  also supports the energy of  $\mathbf{E}(B)$ .

Having defined suitably regular sets, we now proceed to obtain the quantitative advantage of Theorem 4. This arises from studying the following truism:

$$r - s = (b + r) - (b + s), \quad (23)$$

where pairs  $(r, s) \in \mathcal{R}(B) \times \mathcal{R}(B)$  are popular by energy:  $r - s \in D$ , and  $b \in B$ .

Let us impose the additional condition that  $x := b + r \in P_\varepsilon(B)$ , where  $P_\varepsilon(B)$  is defined as in (22). Since  $b \in B$ , there are  $\gg |D|\Delta|B|$  solutions to (23). We will partition solutions to (23) as

$$d = x - y : d \in D, x \in P_\varepsilon(B), y \in B + B$$

by the equivalence relation

$$(r, s, b) \sim (r + t, s + t, b - t), t \in \mathbb{R}.$$

The number  $Q$  of pairs of related triples is bounded from above by

$$\sum_t r_{B-B}^3(t) = \mathbf{E}_3(B). \quad (24)$$

Hence, by the Cauchy-Schwarz inequality, using the popularity of the set  $P_\varepsilon(B)$  and the Hölder inequality respectively, we get

$$|A||D|\Delta \ll \sqrt{\mathbf{E}_3(B)} \sqrt{|\{x - y = d : x \in P_\varepsilon(B), y \in B + B, d \in D\}|} \\ \leq \varepsilon^{-1/2} \mathbf{E}_3^{1/2}(B) (|B + B||B|^{-2})^{1/2} |\{b - b' - y = d : b, b' \in B, y \in B + B, d \in D\}|^{1/2} \\ = \varepsilon^{-1/2} \mathbf{E}_3^{1/2}(B) |B + B|^{1/2} |B|^{-1} \sqrt{\sum_t r_{B-D}(t) r_{B-(B+B)}(t)} \\ \leq \varepsilon^{-1/2} \mathbf{E}_3^{1/2}(B) |B + B|^{1/2} |B|^{-1} \mathbf{E}_{3/2}^{1/3}(B, D) \mathbf{E}_3^{1/6}(B, B + B). \quad (25)$$

To each instance of  $E_3$  as well as  $E_{3/2}$  we apply Lemma 3. We only present the case (i), as the case (ii) of a convex  $|A|$  uses the estimates of the same lemma, the numerology change being tantamount to  $|\Pi_1| = |\Pi_2| = T = |A|$ .

Thus applying Lemma 3 and rearranging we obtain

$$|D|^{7/12}\Delta \ll \epsilon^{-7/6}|\Pi_1|^{3/2}|\Pi_2|^{3/2}|B|^{-3/4}|B+B|^{5/6}T^{-9/4}. \quad (26)$$

We can assume, again by Lemma 3, that

$$\Delta \ll \epsilon^{-1} \frac{|\Pi_1|^2|\Pi_2|^2|B|^2}{T^3E(B)}. \quad (27)$$

Indeed, by definition of  $D$ , there are  $\sim \Delta|D|$  solutions to the equation

$$r - s = d: \quad r, s \in B, d \in D.$$

Estimate (27) follows by compare this with bound (7) from Lemma 3, with  $C = D$  and rearranging, using  $E(B) \gg \epsilon|D|\Delta^2$ .

We now multiply both sides of (26) by  $\Delta^{1/6}$ , using (27) in the right-hand side. After that we rearrange, use

$$|D|\Delta^2 \gg \epsilon^{-1}E(B) \geq \epsilon^{-1} \frac{|B|^4}{|B+B|},$$

as well as  $|A+A| \geq |B+B|$  and  $|B| \gg |A|$  to complete the proof.  $\square$

We remark that we can easily re-purpose the above proof to retrieve the best known *few products, many sums* inequality

$$|AA|^{14}|A+A|^{10} \geq |A|^{30-o(1)}$$

by Olmezov, Semchankau and Shkredov [18].

## 6 Proof of Theorem 2

In this section we prove a new lower bound on  $|AA+AA|$ . The theorem follows immediately by combining the bounds from the two forthcoming propositions, the first one being an easier version of Proposition 1 and the second of the argument in the proof of Theorem 5 around estimates (23)-(25).

We once again assume that  $A \subset \mathbb{R}_{>0}$ . Similar to Proposition 1, the forthcoming Proposition 2 uses Konyagin and Shkredov's extension of Solymosi's geometric argument [10, 11, 27]. Only now the vector sums constructed lie in  $(AA+AA) \times (AA+AA)$  and the set of slopes used instead of  $S_\tau$  are all of the slopes from  $A/A$ . This idea is due to Balog [1].

A variant of Proposition 2 can be extracted from the paper by Iosevich, Roche-Newton and the first author [8, Proof of Theorem 2]. Below we give a brief self-contained proof.

**Proposition 2.** *For a finite positive set of positive reals  $A$ ,*

$$|AA+AA|^2 \gg |A/A|^{2/3}|A|^{5/2}.$$

**Proposition 3.** *Let  $A \subseteq \mathbb{C}$ . Then*

$$|AA+AA|^5 \gg \frac{|A|^{13}}{|A/A|^5} \log^{-9/2}|A|.$$

It remains to prove Propositions 2 and 3.

*Proof of Proposition 2.* Recall that  $A$  is positive. For each  $\lambda \in A/A$  we fix some vector  $v_\lambda = (a_\lambda, \lambda a_\lambda) \in A \times A$  lying on the line through the origin with slope  $\lambda$ . Clearly, for any  $b \in A$ , the dilates  $bv_\lambda$  of the vector  $v_\lambda$  are in  $AA \times AA$ . Thus for any  $\lambda_1, \lambda_2 \in A/A$ , we have the sums of such dilates lie in  $(AA + AA) \times (AA + AA)$ :

$$\forall a_1, a_2 \in A, \lambda_1, \lambda_2 \in A/A, a_1 v_{\lambda_1} + a_2 v_{\lambda_2} \in (AA + AA) \times (AA + AA).$$

For fixed distinct  $\lambda_1, \lambda_2 \in A/A$ , we get  $|A|^2$  new vector sums, with slope between  $\lambda_1$  and  $\lambda_2$ .

By considering only vector sums from consecutive slopes  $\lambda_i, \lambda_{i+1}$ , it thus follows that

$$|AA + AA|^2 \geq (|A/A| - 1)|A|^2;$$

we will further attempt to improve by considering vector sums constructed within bunches of slopes.

Similar to (15) in the proof of Proposition 1 define

$$N := C \frac{|AA + AA|^2}{|A|^2 |A/A|},$$

for a sufficiently large absolute  $C$ .

As in the proof of Proposition 1, partition the set of slopes  $A/A$  (equivalently, the lines through the origin supporting  $A \times A$ ) into  $\lfloor |A/A|/N \rfloor$  consecutive “full” bunches containing  $N$  lines, and at most one bunch consisting of fewer than  $N$  lines, which gets deleted. Once again,  $N$  is much bigger than 2 and much smaller than  $|A/A|$ .

To each bunch  $\mathcal{B}$  and distinct  $\lambda_i, \lambda_j \in \mathcal{B}$  we construct  $|A|^2$  vector sums in  $(AA + AA)^2$ , by considering the vector sums of the dilates of the vectors  $v_{\lambda_i}, v_{\lambda_j}$  by elements of  $A$ , to generate the sum set  $Av_{\lambda_i} + Av_{\lambda_j} \subseteq (AA + AA)^2$ . By inclusion-exclusion, the number of new elements of  $(AA + AA) \times (AA + AA)$  generated by  $\mathcal{B}$  is at least:

$$\binom{N}{2} |A|^2 - \left( Q := \sum_{\lambda_i, \dots, \lambda_k \in \mathcal{B}} |(Av_{\lambda_i} + Av_{\lambda_j}) \cap (Av_{\lambda_k} + Av_{\lambda_l})| \right).$$

The sum defining the collision term  $Q$  is taken over  $\lambda_i, j, k, l \in \mathcal{B}$  so that  $\lambda_i \neq \lambda_j, \lambda_k \neq \lambda_l$  and  $\{\lambda_i, \lambda_j\} \neq \{\lambda_k, \lambda_l\}$ . It is a direct analogue of (16).

By repeating verbatim the argument in the proof of Proposition 1 between (16) and (20), we argue that, owing to the above choice of  $N$ , at least for 50% of the bunches  $\mathcal{B}$  the collision term should be large. That is, the value  $Q$  associated to at least half the bunches satisfies

$$Q \gg N^4 |A|^2 \left( \frac{|A|^2 |A/A|}{|AA + AA|^2} \right)^2.$$

Furthermore, as a direct analogue of the arguments between statements (19) - (20) in the proof of Proposition 1 we conclude that there are two dilates of  $A$  by some  $c_1, c_2$ , so that

$$|\{(a, a_1, a_2) \in A^3 : a = c_1 a_1 - c_2 a_2\}| \gg |A|^2 N^{-2} \gg |A|^2 \frac{|A|^4 |A/A|^2}{|AA + AA|^4}.$$

We compare this with the upper bound for the number of solutions, from Lemma 3; we use bound (7), with  $\Pi_1 = A, \Pi_2 = A/A$  and  $T = A$ , since  $a = b \frac{a}{b}$  for any  $b \in A$ .

Rearranging completes the proof.  $\square$

*Proof of Proposition 3.* Once again, dyadically partition the set  $A - A$  to obtain  $D \subseteq A - A$  and  $\Delta \geq 1$  so that  $E(A) \geq |D|\Delta^2 \log |A|$  with  $r_{A-A}(d) \in [\Delta, 2\Delta)$  for each  $d \in D$ .

There are at least  $|A||D|\Delta$  solutions  $(a, b, c) \in A^3$  to the equation

$$a - c = (a + b) - (b + c) : a - c = d \in D.$$

We proceed as in the proof of Theorem 5, associating an equivalence relation to these solutions, so that  $(a, b, c) \sim (a + t, b - t, c + t)$  for some  $t \in \mathbb{R}$ .

We have the analogue of (25)

$$|A||D|\Delta \leq E_3^{1/2}(A)|\{(x, y, d) \in (A + A)^2 \times D : x - y = d\}|^{1/2}. \quad (28)$$

We bound the quantity  $|\{x - y = d : x, y \in A + A, d \in D\}|$  using Lemma 3, estimate (7), with  $\Pi_1 = 1/A$ ,  $\Pi_2 = A(A + A)$  and  $T = |A|$ , for  $x = (ax)_a^1$  for any  $a \in A$ . It follows that

$$|\{x - y = d : x, y \in A + A, d \in D\}| \ll |A|^{-1/3}|A + A|^{2/3}|D|^{2/3}|A(A + A)|^{2/3}.$$

Furthermore, once again by Lemma 3, bound (8), with  $\Pi_1 = A$ ,  $\Pi_2 = A/A$ , and  $T = |A|$ , since  $a = b_a^2$ , for any  $b \in A$ , we have

$$E_3(A) \ll |A/A|^2 |A| \log |A|.$$

Multiplying both sides by  $\Delta^{1/3}$ , the bound (28) then becomes

$$|A|^{2/3}(|D|\Delta^2)^{2/3} \ll |A/A||A + A|^{1/3}|A(A + A)|^{1/3}\Delta^{1/3} \log^{1/2} |A|.$$

Similar to (27) we have

$$\Delta \ll \frac{|A||A/A|^2}{E(A)} \log |A|,$$

Thus

$$|A|^{1/3}E(A) \ll |A/A|^{5/3}|A + A|^{1/3}|A(A + A)|^{1/3} \log^{3/2} |A|.$$

The proof is complete after rearranging after using  $E(A) \geq \frac{|A|^4}{|A+A|}$  and dominating  $A + A$  and  $A(A + A)$  by  $AA + AA$ .  $\square$

We remark, curiously, that if one uses an additional assumption in Theorem 2 that  $A$  is convex, then its estimate improves slightly to  $|AA + AA| \geq |A|^{8/5 - o(1)}$ . The same exponent  $\frac{8}{5} - o(1)$  is the best one known in the few products, many sums scenario for  $|A + A|$  when  $|AA| \rightarrow |A|$ , [24]. The same exponent is also the best one known for  $|A - A|$  when  $A$  is convex (but not for  $|A + A|$ ), [22]. See the discussion in the outset of this paper.

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